

# Additive Manufacturing of Metals and Alloys

## 9. Mechanical properties of AM parts

February 2023

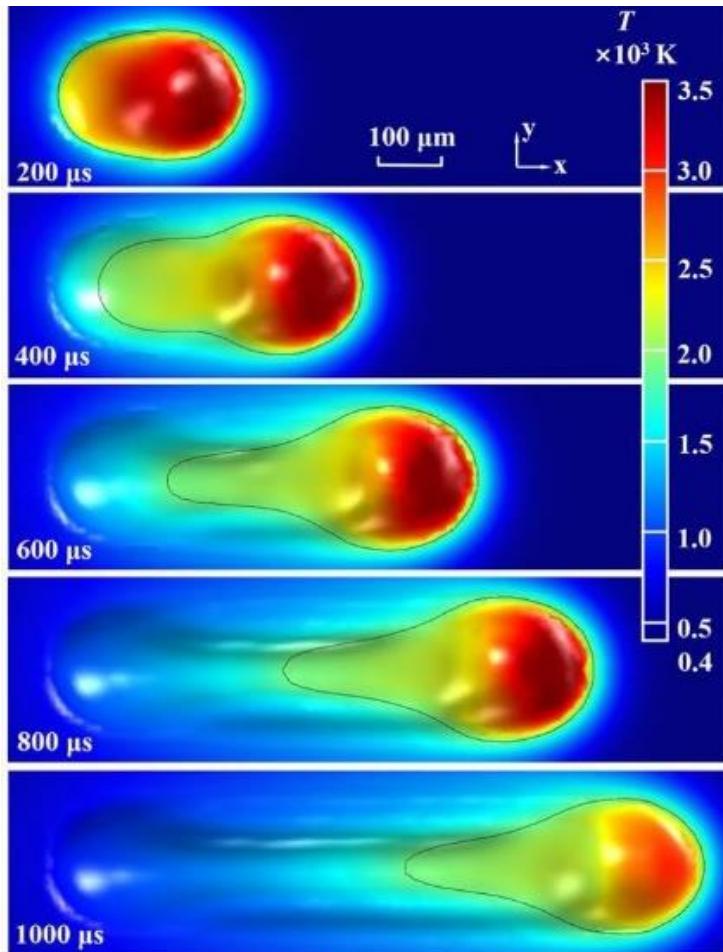
**Prof. Roland Logé**  
**MER Dr. Christian Leinenbach**  
**Dr. Charlotte de Formanoir**

**EPFL**

# Outline

- Thermal gradients and plastic deformation
- Dislocation density and cell structure
- Grain and subgrain size
- Precipitation and chemical segregation
- Texture and anisotropy
- Cyclic loading and fatigue

# Thermal gradients and plastic deformation



- Very high spatial gradients of T
- Time scale also very short



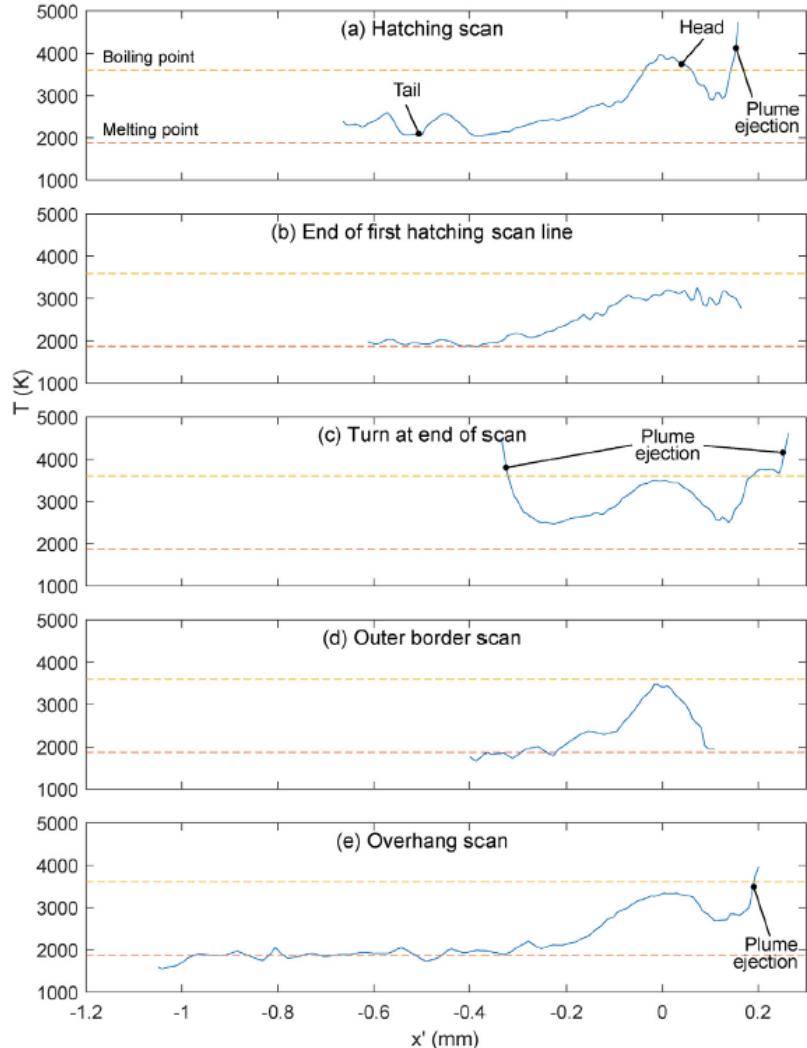
Neighboring zones undergo different thermal strain / phase transformation



May result in local **plastic strain**

Plastic strain can accumulate during successive line scans, and lead to significant **dislocation density**

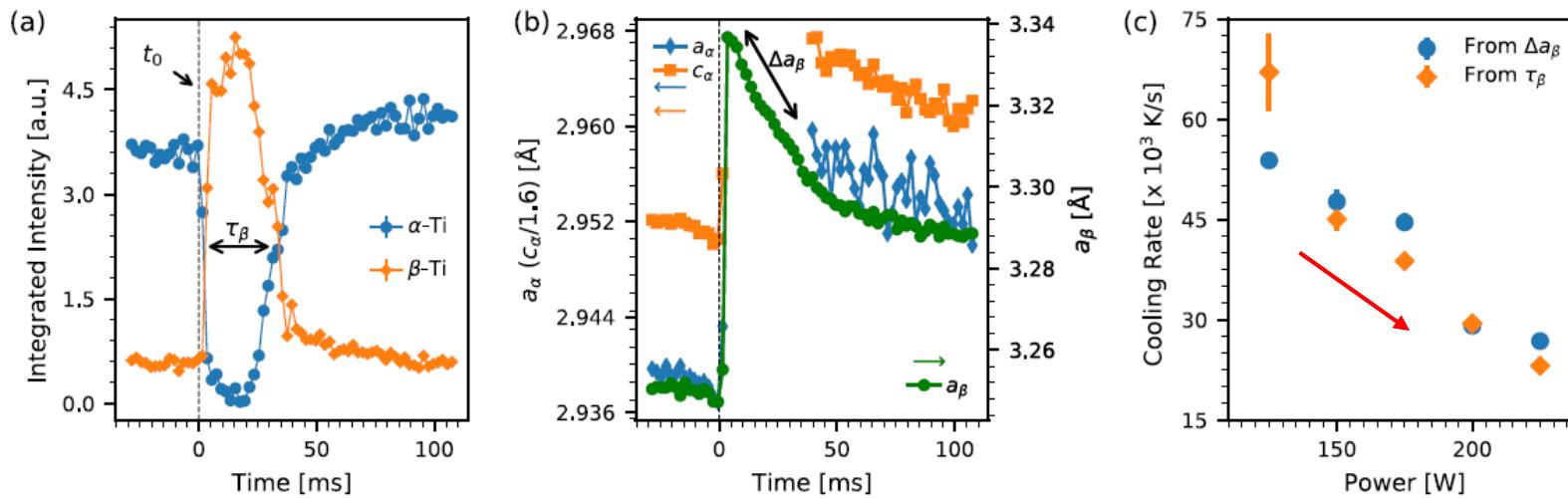
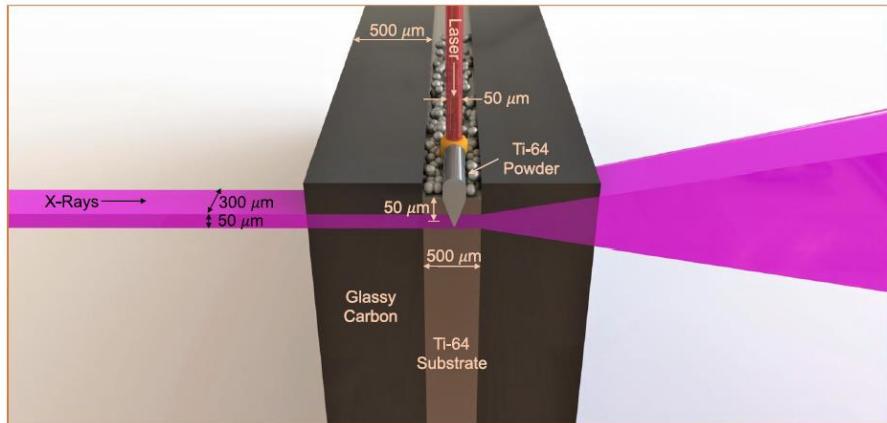
# Measured T profiles in the melt pool (Ti-64)



- Temperature vs distance profiles, Ti-64
- Through a centre line from the head of the melt pool to the tail
- Profiles taken near the end of a laser pulse
- **Boiling point** is often reached
- T gradient **varies a lot in melt pool** : solidification microstructure should relate to the T gradient near the solidification T

Distance to the center of the laser beam

# Cooling rate and T gradient in the solid state



Cooling rate in the high temperature  $\beta$  phase (Ti-64) **decreases with increasing laser power**  
**→ Lower temperature gradients in the solid phase**

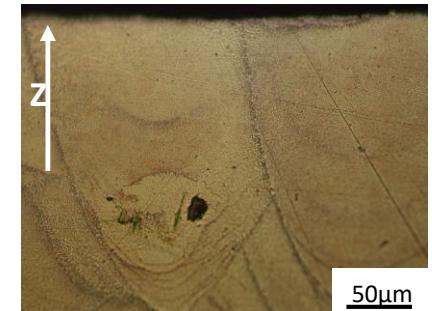
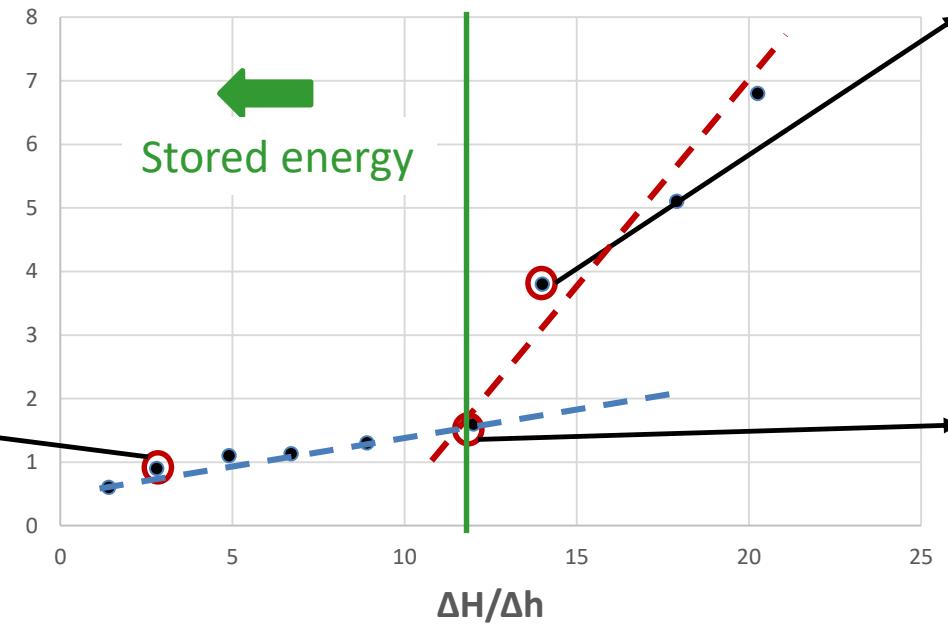
# LPBF processing and stored (dislocation) energy

## Normalized enthalpy vs normalized melt pool depth

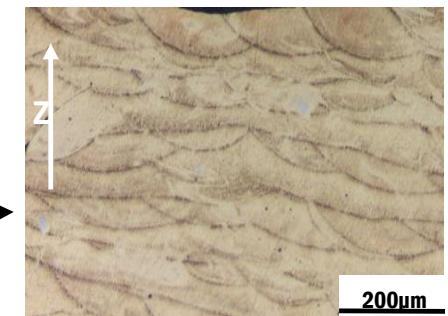
$$\Delta H = \frac{\alpha_p \mathbf{P}}{\sqrt{\pi \omega^3 \mathbf{V} D}}$$

$$\Delta h = \rho(C_p \Delta T + L_m)$$

Melt pool depth / spot size



- Keyhole porosities
- Deep melt pool



- No Keyhole, No LoF

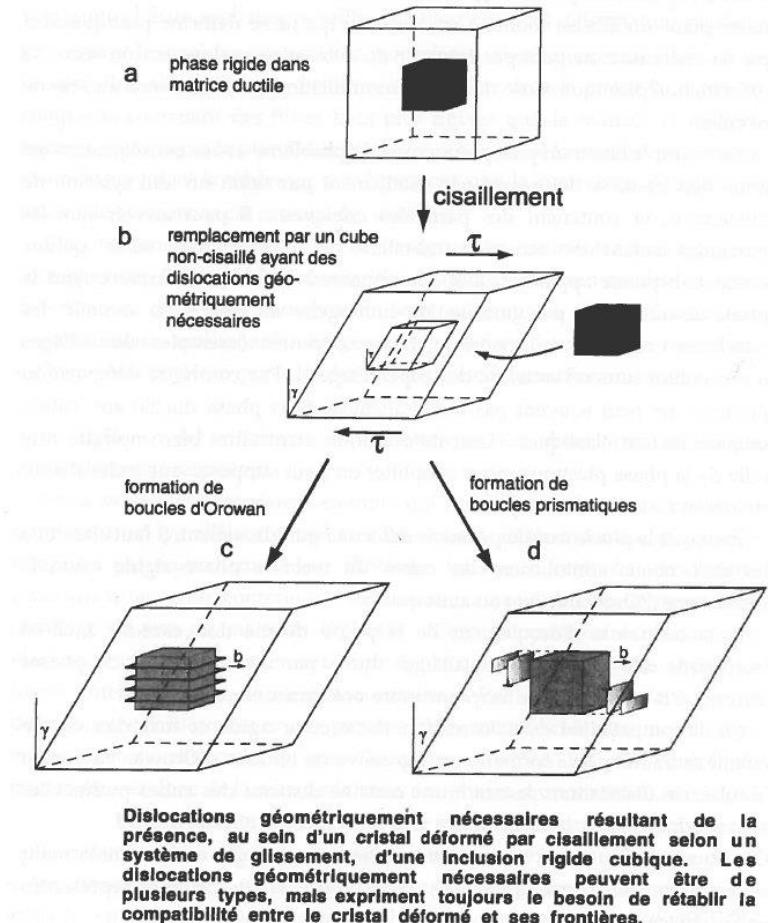
Conduction mode

Stored energy tends to increase when approaching LoF  
(reduced amount of heat, large thermal gradients)

# Large temperature gradient → geometrically necessary dislocations

Extreme example : rigid (cubic) inclusion embedded in a ductile matrix – plastic deformation

- Dislocations move and interact with inclusions. They bend around them, and need a critical Orowan shear stress to bypass them, given by  $Gb/d$ , where  $d$  is the mean distance between inclusions,  $b$  the Burgers vector, and  $G$  the elastic shear modulus.
- Bypassing inclusions leaves behind **Orowan dislocation loops**.
- These dislocation loops **accommodate the strain incompatibility** between the inclusion and the matrix
- **Image forces** exist between dislocations and a rigid interface, which maintain the Orowan loops at a certain distance from the inclusions.
- The **Orowan loops** can minimize their energy by transforming into prismatic, sessile loops.
- Dislocation loops are « **geometrically necessary** ». They increase the hardness of the ductile matrix.

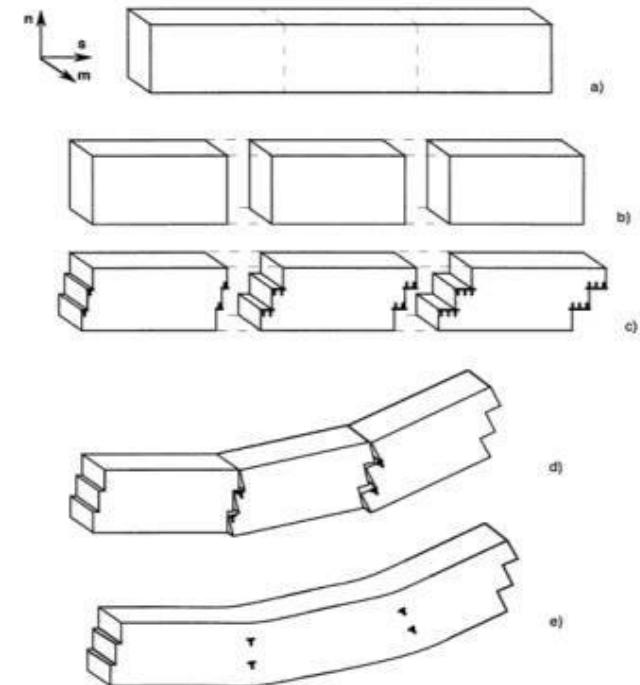


[Déformation et rupture des matériaux à basse température, tome 1, A. Mortensen & T. Kruml]

# Geometrically necessary dislocations

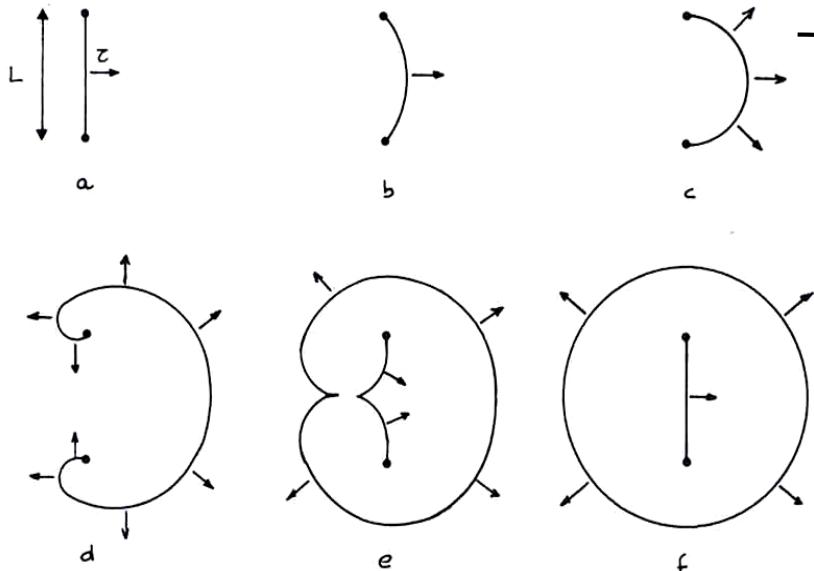
## Plastic deformation under large strain gradients

- A crystal is conceptually divided in several neighboring zones.
- Each zone undergoes a different plastic deformation, due to the gradient in the applied load.
- **Dislocations** of the same type and of opposite sign **annihilate**.
- The **excess of dislocations** remaining after annihilation is proportional to the strain gradient in the direction of the Burgers vector.
- In polycrystals, there are large strain gradients **near grain boundaries**. Geometrically necessary dislocations therefore appear especially there. They do not move but interact with other dislocations and **increase strain hardening**.



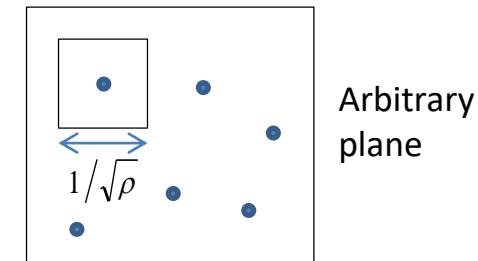
Arsenlis A., Parks D. M., Crystallographic aspects of geometrically-necessary and statistically-stored dislocation density. *Acta Materialia*, 47, pp. 1597-1611, 1999.

# Link between **dislocation density $\rho$** and flow stress



Frank & Read mechanism

$$\tau_c = \beta \frac{Gb}{L}$$



- $L$  is the distance between **obstacles** created by interaction with other **dislocations** :

$$L \approx \rho^{-1/2}$$

- Friction forces and other obstacles :

$$\tau = \tau_0$$



$$\tau = \tau_0 + \alpha G b \sqrt{\rho}$$

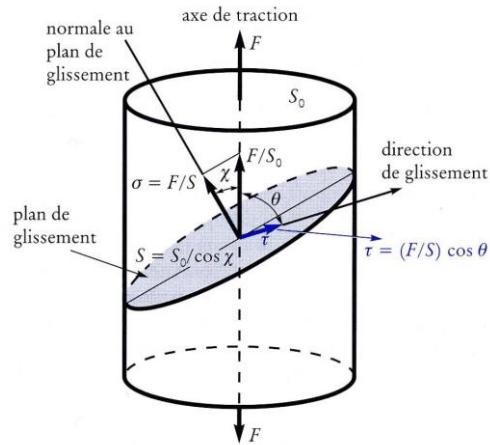
$(\alpha = 0.2..0.3 < \beta$  because all dislocations are not obstacles)

Pure FCC metals :  $\rho \approx \left( \frac{\tau}{\alpha G b} \right)^2$

# Dislocation density and cell structure

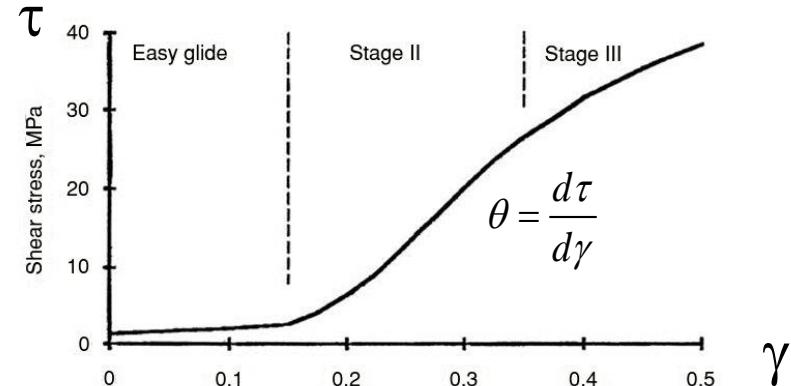
## Hardening stages in a single crystal

- The **hardening rate  $\theta$**  varies as a function of the accumulated strain
- **Stage I** corresponds to only 1 slip system activated, with the highest Schmid factor
- **Stage II** activates new slip systems, leading to a strong increase of strain hardening due to dislocation interactions



$$\tau = \frac{F}{S_0} \cos \theta \cos \chi$$

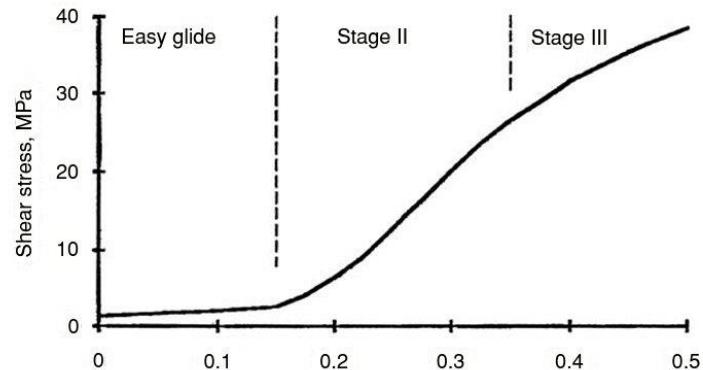
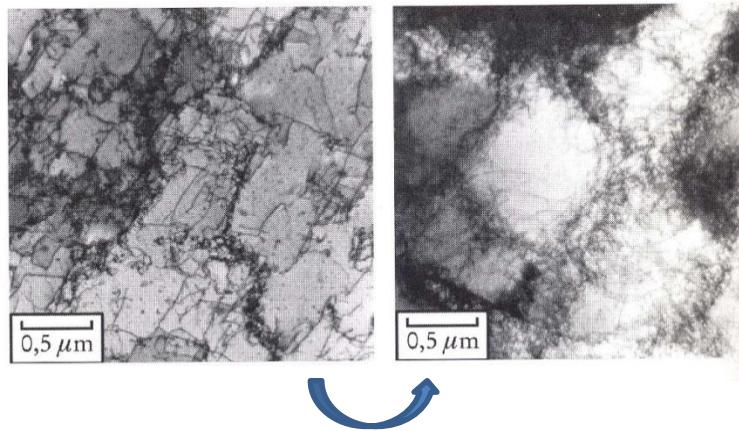
*m* = Schmid factor



- In **stage III**,  $\theta$  decreases with strain
- Stage III features depend on T and strain rate
  - **Thermal activation**, allowing the reduce / bypass accumulated obstacles to dislocations
  - If T is high, stage III can start almost immediately
  - **Cross slip** of screw dislocations is activated, especially when the stacking fault energy is high (e.g. Aluminium).

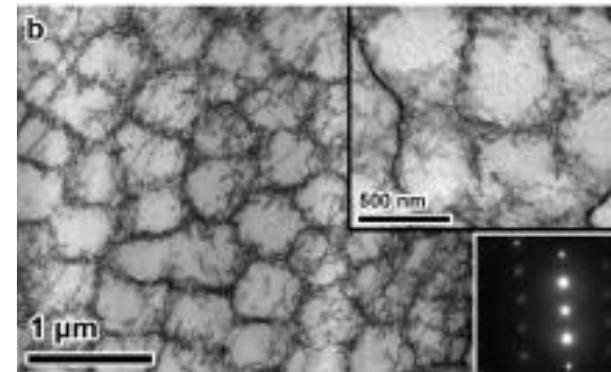
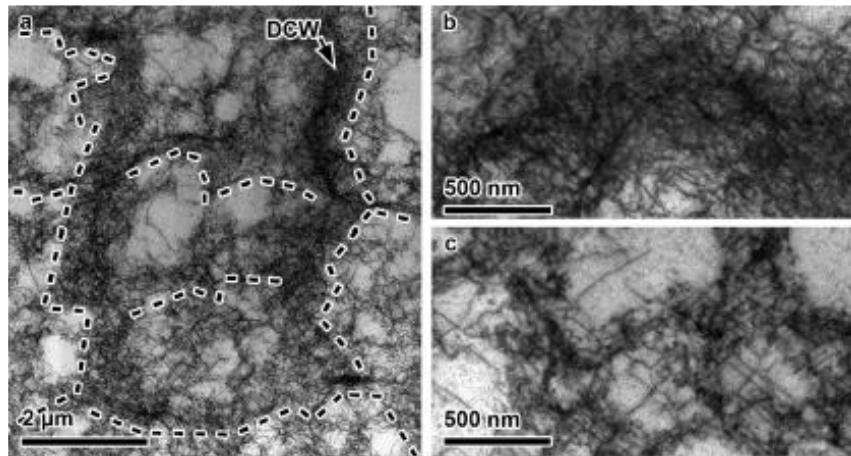
## Hardening stage III

Energy minimization, resulting from attracting forces between dislocations, with dislocation reorganization and annihilation



- In stage III, dislocations reorganize themselves into cells and form **cell walls**.
- This is more effective if dislocations are mobile, with the capability of cross slip and dislocation climb, leading to the structure recovery by energy minimization and dislocation **annihilation**.

# Dislocation density and cell structure in AM



316L stainless steel

K.M. Bertsch et al., Acta Materialia 199 (2020) 19–33

- Dendritic **micro-segregation**, **precipitates**, or local **misorientations** influence how the dislocations organize during processing
- “AM dislocation structures originate from **thermal distortions** during printing, which are primarily dictated by constraints surrounding the melt pool and thermal cycling.”

# Grain size and subgrain size

## Hall-Petch law

- Grain boundaries are obstacles to the motion of dislocations.
- Arrested dislocations induce a stress on the **source of dislocations**. If their number  $n$  is large enough, this stress will oppose entirely to the **applied stress  $\tau_a$** , and the source will stop emitting dislocations when :

$$n = \frac{\pi \alpha D \tau_a}{Gb} \quad (\alpha \text{ constant close to 1})$$

- The stress  $\tau$  acting on an obstacle by a **dislocation pile up** under and applied stress  $\tau_a$  is :

$$\tau = n \tau_a$$

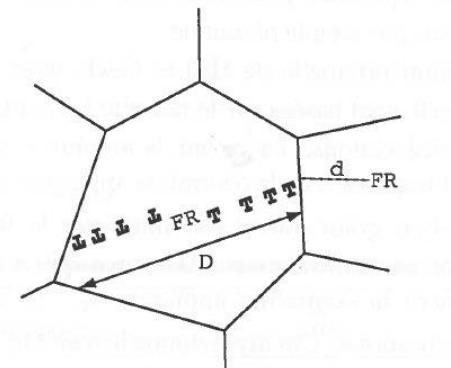
- The yield stress is supposed to be the stress allowing to **transmit plastic deformation from one grain to another**. For example, by activation of Frank & Read sources on the other side of the grain boundary. The condition is therefore :

$$\tau = n \tau_a \geq \tau_c$$

i.e.

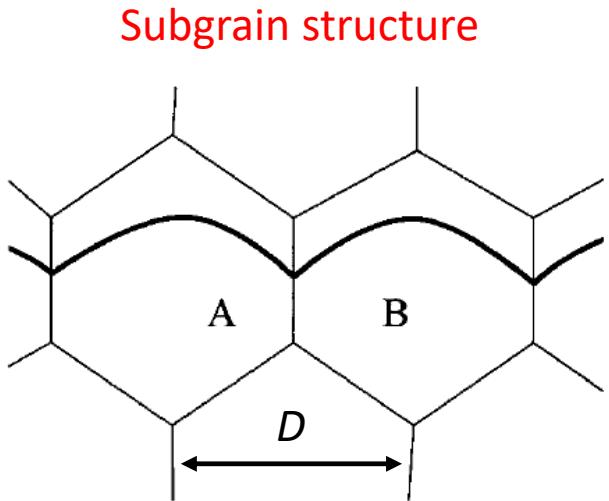
$$\tau_a \geq \sqrt{\frac{Gb \tau_c}{\pi \alpha D}} \propto D^{-1/2}$$

In AM, very fast cooling rates from the melt result in reduced grain size  $D$  compared to other processes



[Déformation et rupture des matériaux à basse température, tome 1, A. Mortensen & T. Kruml]

# Grain size and subgrain size



E. Nes, K. Marthinsen & B. Holmedal (2004)  
The effect of boundary spacing on  
substructure strengthening, Materials Science  
and Technology, 20:11, 1377-1382

$$\tau_c = \beta \frac{Gb}{L}$$

$L$  = mean distance  
between obstacles

- For a **grain structure**,  $L$  is the mean distance between dislocations :  $L \approx \rho^{-1/2}$
- For a **subgrain/cell structure**,  $L$  becomes the mean **boundary spacing** :

$$\tau \approx \alpha \frac{Gb}{D}$$

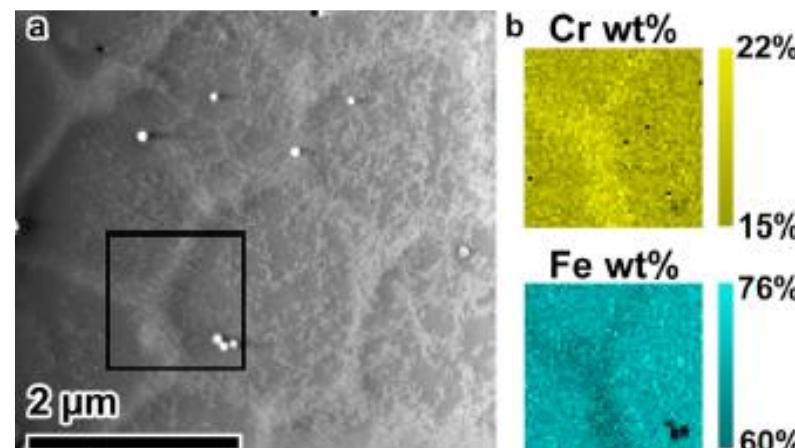
Differs from Hall-Petch !

# Precipitation and chemical segregation

- Due to the **very high cooling rates** operating in AM, **precipitates** often do not have the time to nucleate or to grow.
- The material is then supersaturated with solute atoms which should have precipitated according to the (equilibrium) phase diagram.
- High cooling rates from the melt also induce **chemical segregations**
- Depending on the state of precipitation and chemical segregation, **hardening mechanisms** will operate in the AM material and influence the formation of **cell structures**.

Example : 316L steel

**Cr micro-segregation**  
and Fe depletion at  
large dislocation cell  
walls



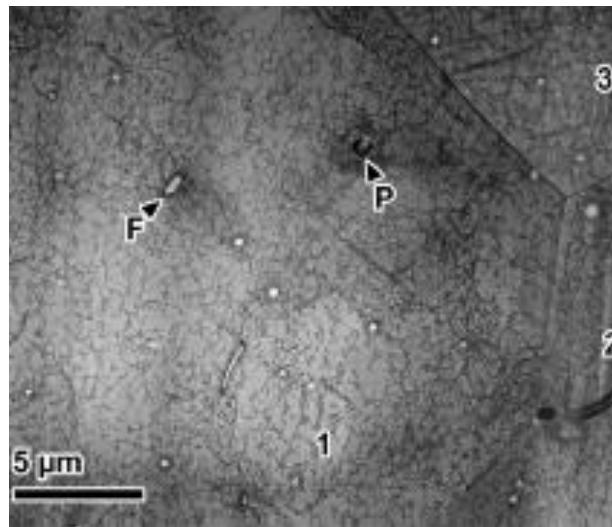
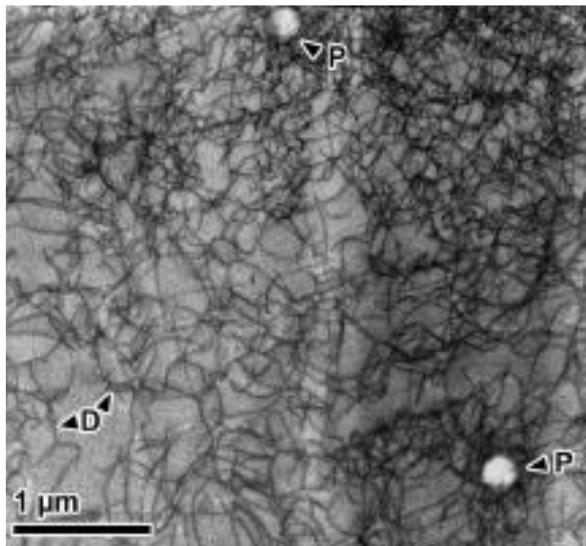
# Precipitation and chemical segregation

Example : 316L steel

P = oxide precipitate

F = ferrite inclusion

D = dislocation



Si, Mn, and Cr oxide fine precipitates approximately **15 nm in diameter** on average, primarily in cell walls but also in cell interiors.

# Precipitation strengthening

- In the presence of precipitates, with mean interdistance  $d$  :

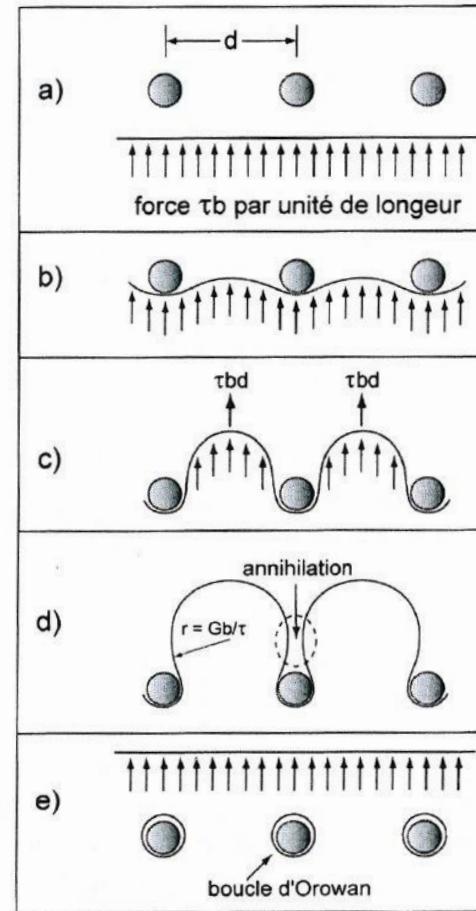
- The dislocation first curves,

$$\tau = \frac{Gb}{2R}$$

- The radius of curvature  $R$  is minimal when  $R = d/2$  :

$$\tau = \tau_c = \frac{Gb}{d}$$

- Beyond,  $R$  increases again, and neighboring segments of dislocation annihilate, leaving a geometrically necessary Orowan loop around each precipitate



Orowan mechanism

[Déformation et rupture des matériaux à basse température, tome 1, A. Mortensen & Tomas Kruml]

## Zener grain boundary pinning

- Second phase particles or precipitates represent pinning forces for the motion of grain boundaries.
- At the junction between grain boundary and particle, the contact angle is  $\pi/2$  due to balance of capillary forces.
- Force  $F$  in the direction of boundary motion:

$$F = 2\pi r\sigma_{jg} \cos\theta \sin\theta = \pi r\sigma_{jg} \sin 2\theta$$

$$\sigma_{jg} = \gamma_s \quad \text{Grain boundary energy per unit area}$$

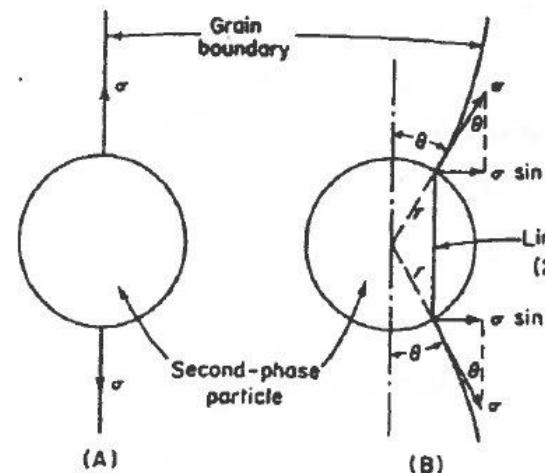
- $F$  reaches its maximum for  $\theta = \pi/4$ .
- Let  $N$  be the **number of particles per  $m^3$** , and assume an overall plane boundary
- The boundary intersects  $2r N$  particles per  $m^2$ .
- If  $f$  is the **volume fraction** of particles :

$$f = \frac{4}{3}\pi r^3 N$$

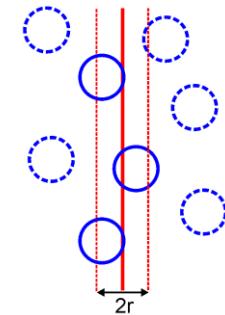
- It results a pinning **pressure** (assuming  $F = F_{\max}$  everywhere) :

$$P_Z = F_{\max} 2rN = \frac{3f\gamma_s}{2r}$$

Zener pressure

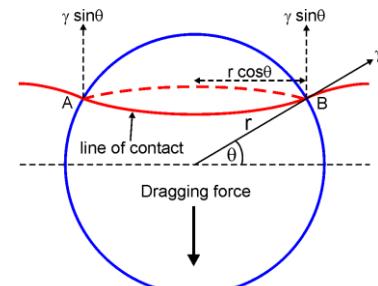


Wikipedia,  
« Zener pinning »



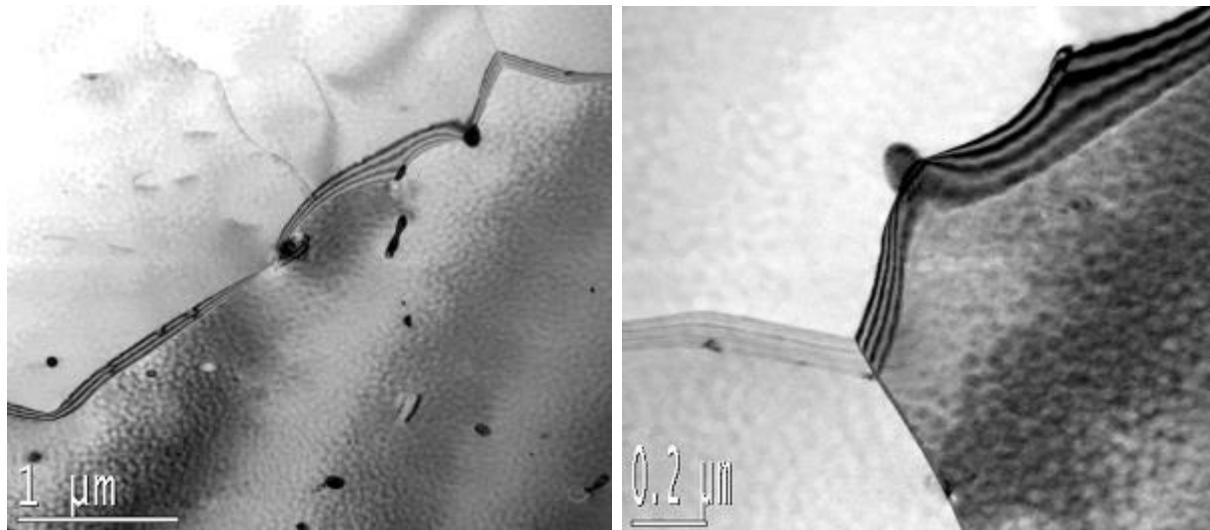
Interaction entre un joint de grain et une inclusion sphérique.

[Déformation et rupture des matériaux,  
Tome 3, A. Mortensen]

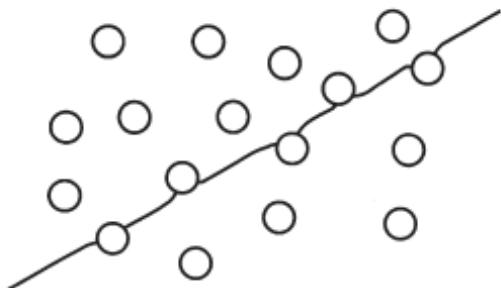


At a given  $f$ , the Zener pressure increases if the particles size ( $r$ ) decreases

## Zener pinning



TEM observation of pinning of boundaries by particules



Pinning pressure :

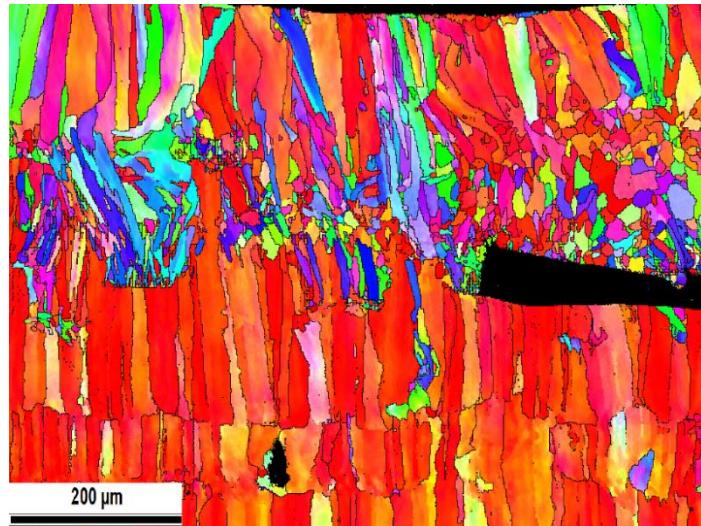
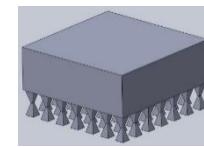
$$P_Z = \frac{3f\gamma_s}{2r}$$

$f$  = volume fraction

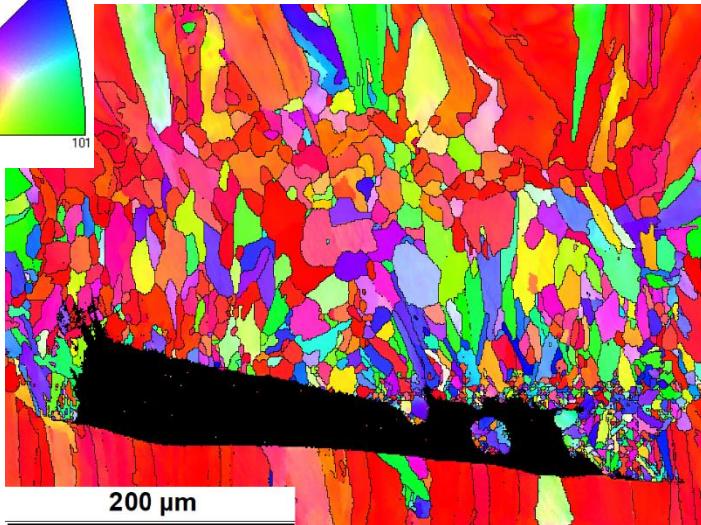
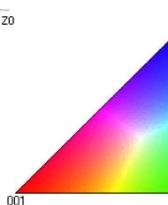
$\gamma_s$  = grain boundary energy

$r$  = mean particle radius

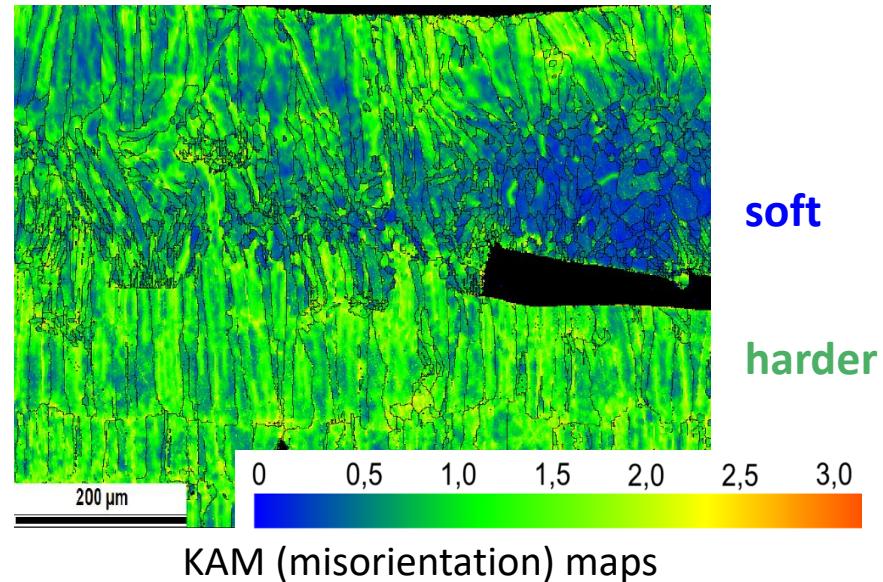
# Recrystallization near porosities in the as-built LPBF state (316L steel): slower cooling rate



EBSD IPF maps



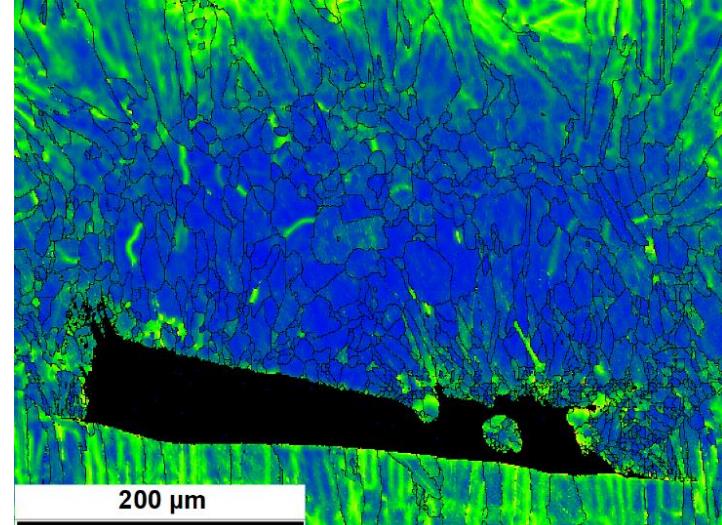
200 μm



KAM (misorientation) maps

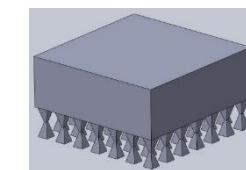
soft

harder



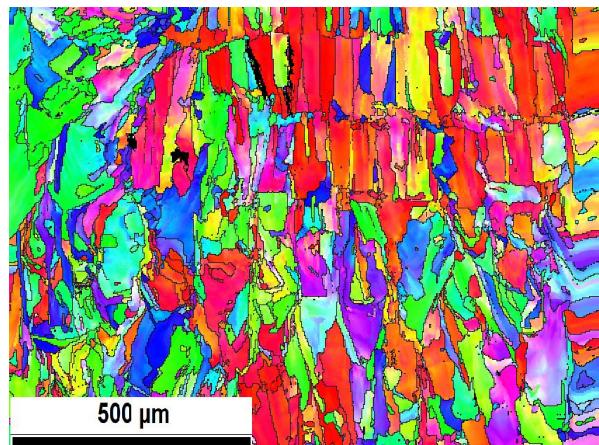
20

# Low stored energy (high normalized enthalpy) : difficult to recrystallize

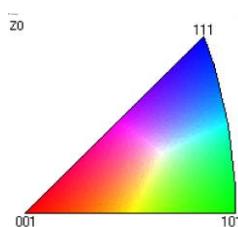


As built

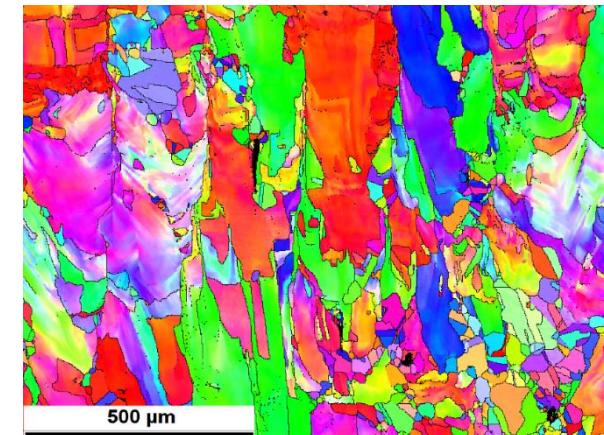
BD



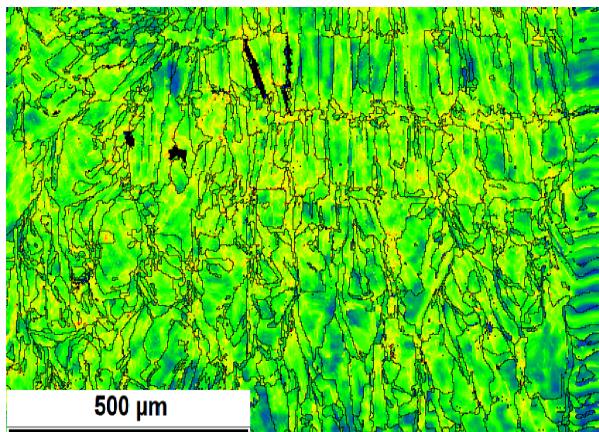
316L steel  
3 hours, 1100°C



Heat treated



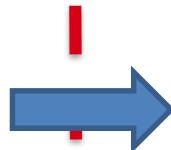
Misorientation map



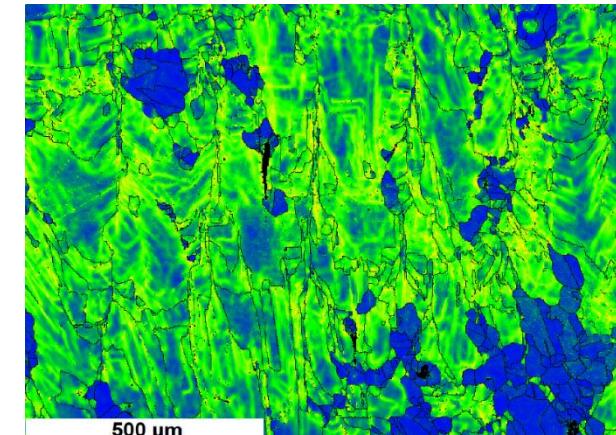
0 1 2 3 4 5

500 μm

RX fraction  
~ 12%



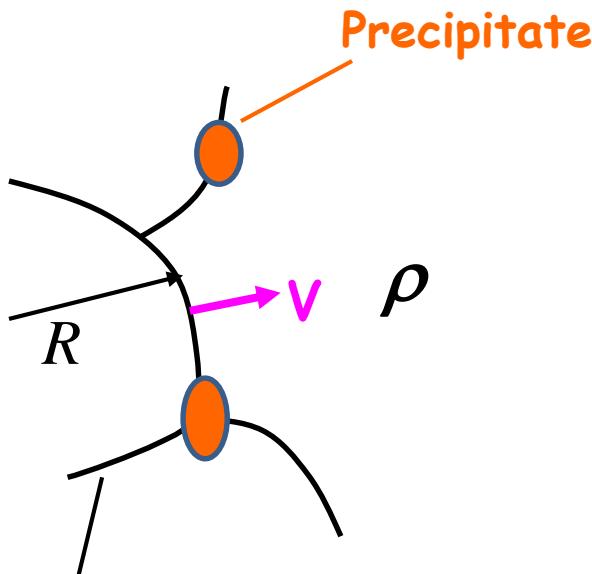
Misorientation map



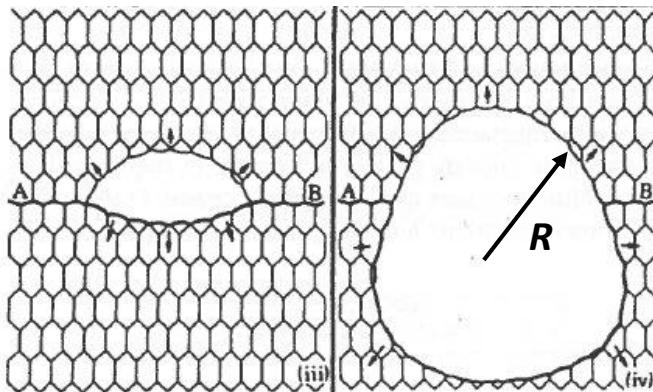
0 1 2 3 4 5

500 μm

# Driving force for recrystallization



$\gamma_s$   
boundary  
energy



Germination de la recristallisation par coalescence de sous-grains. [Jones et al., 1979]

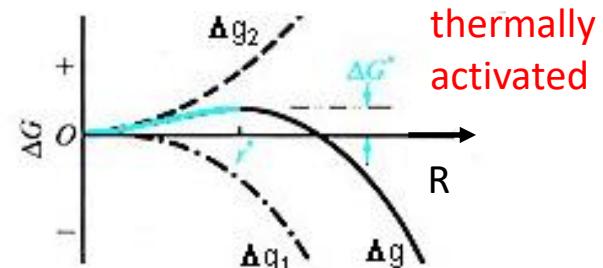
$$V = MP = M \left( E_D + \frac{2\gamma_s}{R} + P_z \right)$$

Capillary forces, become large if  $R < 1 \mu\text{m}$

- $E_D \approx \rho \frac{Gb^2}{2}$  Energy per unit length of a dislocation
- $M = M_0 \exp\left(-\frac{Q}{RT}\right)$  Boundary mobility

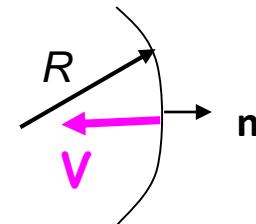
## Nucleation of a dislocation free grain

$$\Delta G = -\frac{4}{3}\pi R^3 E_D + 4\pi R^2 \gamma_s$$



# Grain growth

- $\mathbf{V} = M \mathbf{P} \mathbf{n}$   $P$  = pressure = thermodynamic force



$$P = -\gamma_s \left( \frac{1}{R_1} + \frac{1}{R_2} \right)$$

$R_1$  and  $R_2$  principal radii of curvature of the boundary

Sphere :  $R_1 = R_2 = R$

$$P = -\frac{2\gamma_s}{R}$$

Thermodynamic force related to grain boundary energy (capillary forces)

→ May be high in AM when dealing with very small grain sizes

- In the presence of **precipitates**

$$V = M \left( \frac{-2\gamma_s}{R} + P_Z \right)$$

$$P_Z = \frac{3f\gamma_s}{2r}$$

Equilibrium grain size :

$$\frac{3f\gamma_s}{2r} = \frac{2\gamma_s}{R} ; \quad R = \frac{4r}{3f}$$

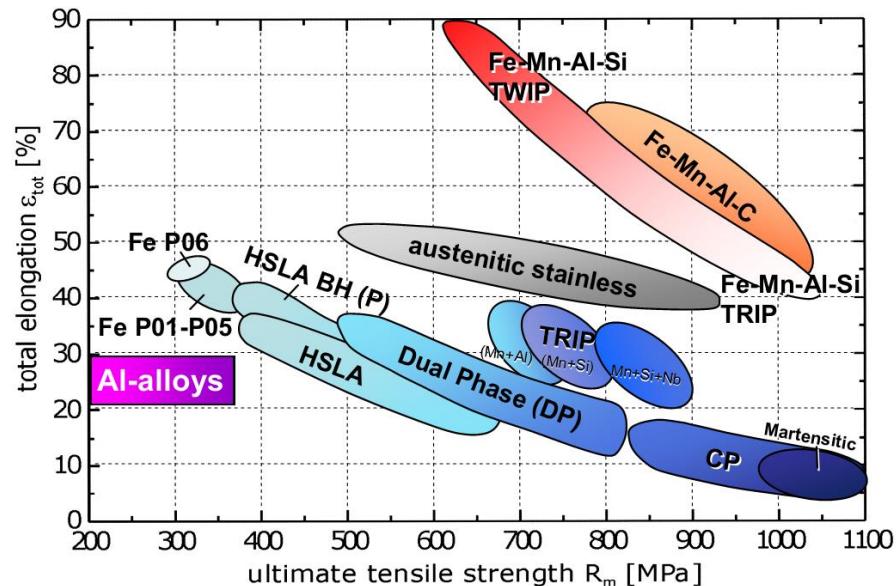
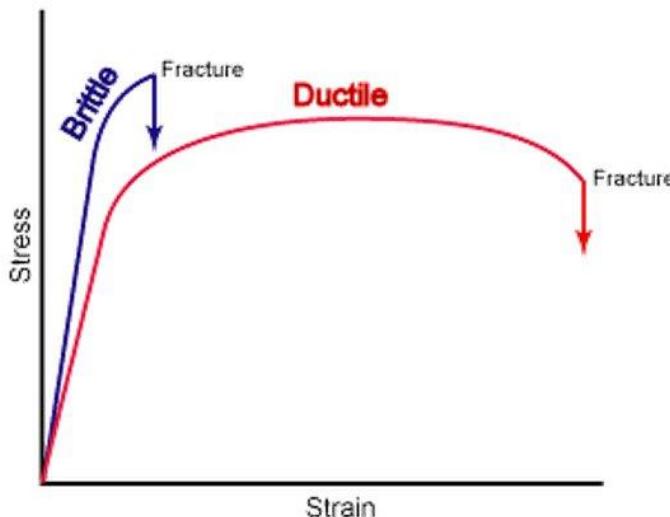
# Strength and ductility

$$\tau = \tau_0 + \alpha_1 G b \sqrt{\rho_i} + \alpha_2 \frac{G b}{D} + \alpha_3 \frac{G b}{d}$$

Shear stress for dislocation motion

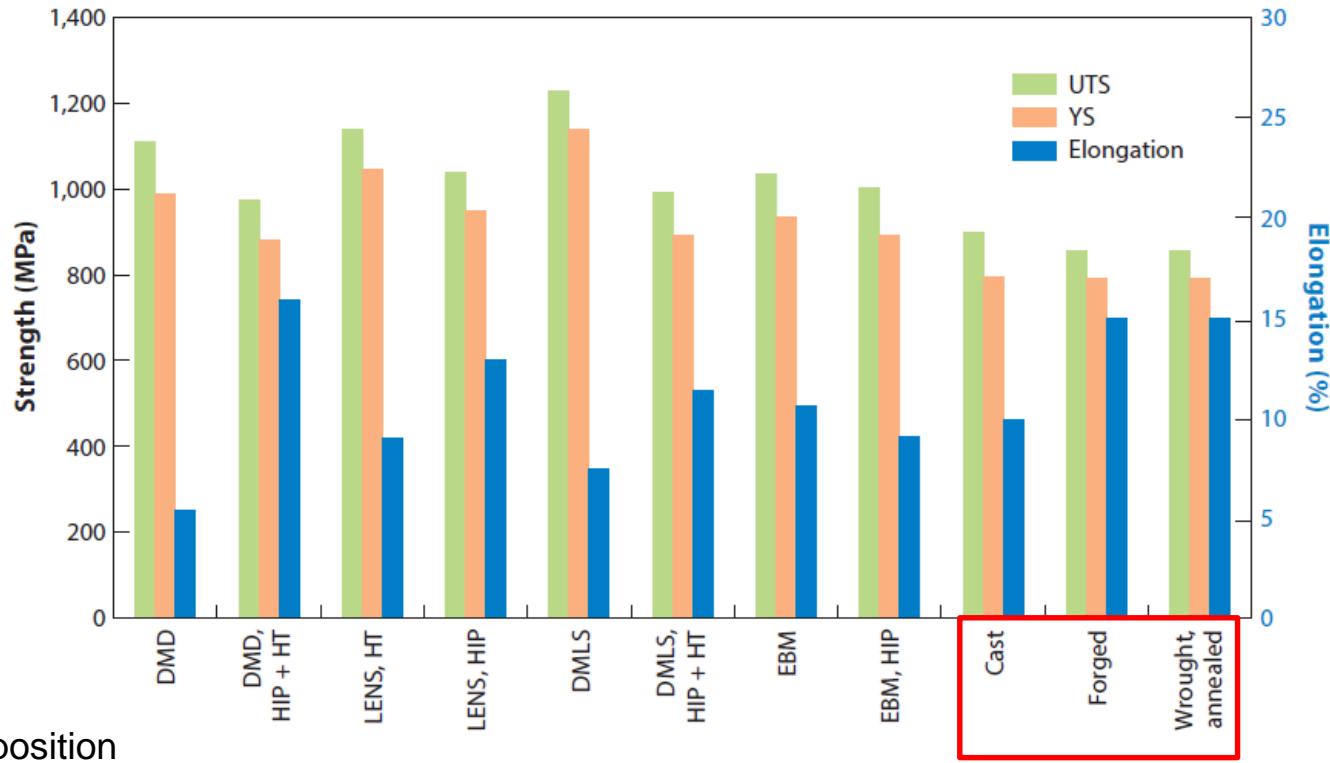
$\rho_i$  = dislocation density in the subgrain interior  
 $D$  = boundary spacing  
 $d$  = precipitates / particles spacing

As always, strength and ductility  
are opposing properties



# Strength

## Ti-6Al-4V AM tensile properties.



DMD, direct metal deposition

DMLS, direct metal laser sintering;

EBM, electron beam melting;

HT, heat treated;

LENS, laser-engineered net shaping;

UTS, ultimate tensile strength;

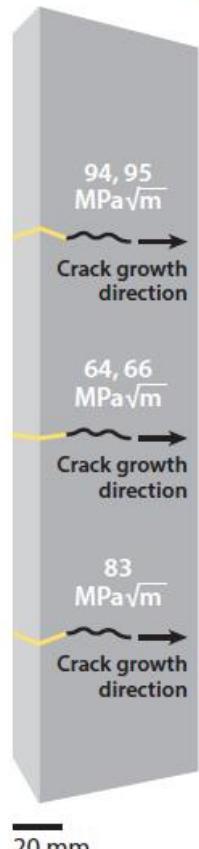
YS, yield stress.

Strength usually higher than cast / forged material

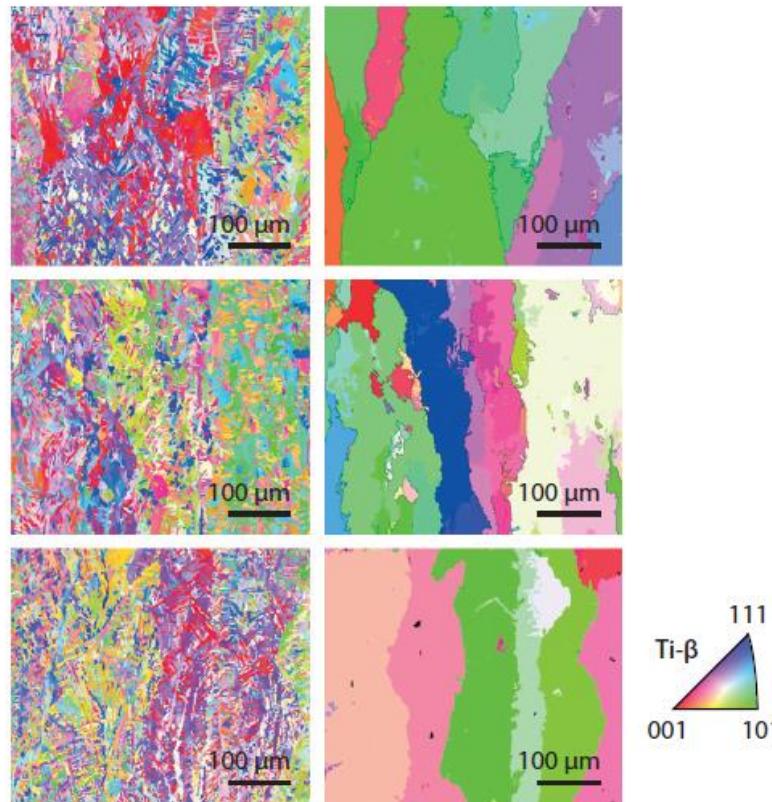
# Toughness

Location-dependent values in an as-built EBM Ti-6Al-4V sample.

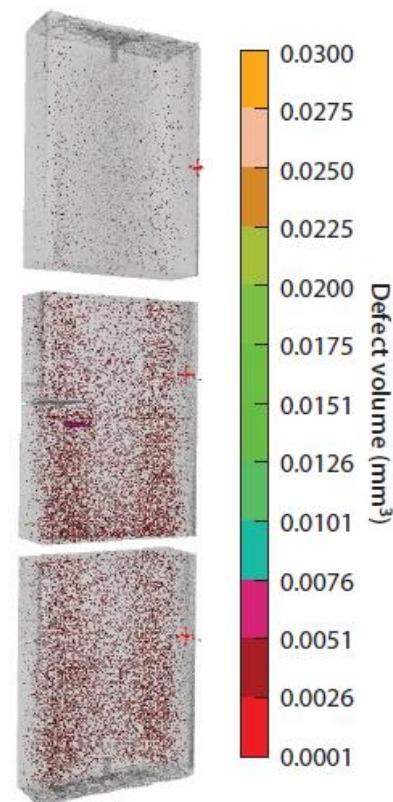
Location-dependent fracture toughness



Microstructure variation along the build



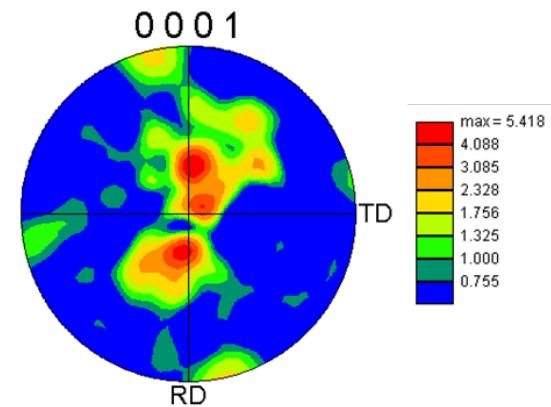
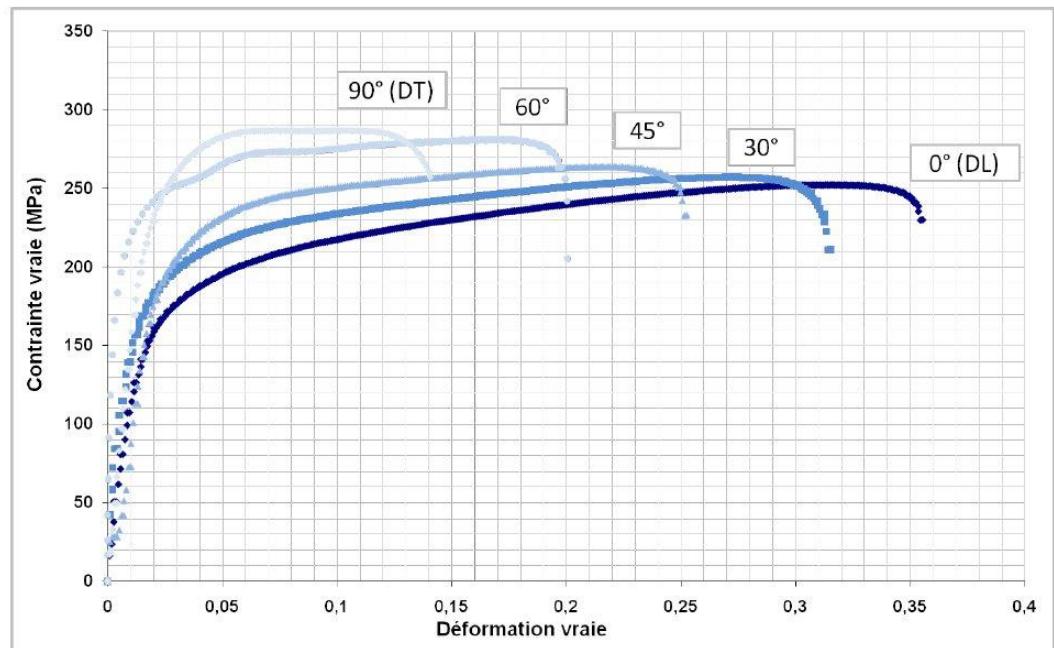
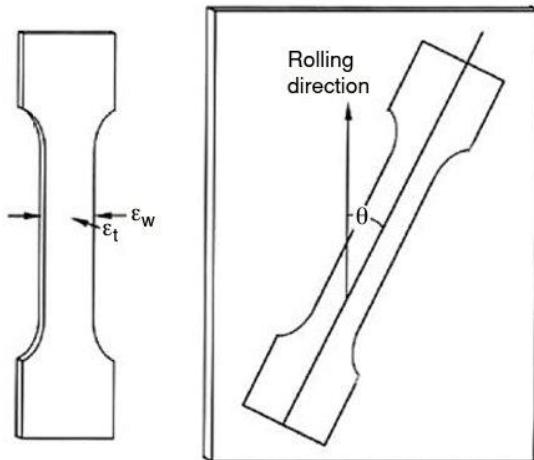
Defect distribution along the build



Variations in microstructure (prior  $\beta$  grains and  $\alpha + \beta$  microstructure) and defect density were detected along the same as-built sample

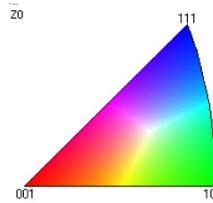
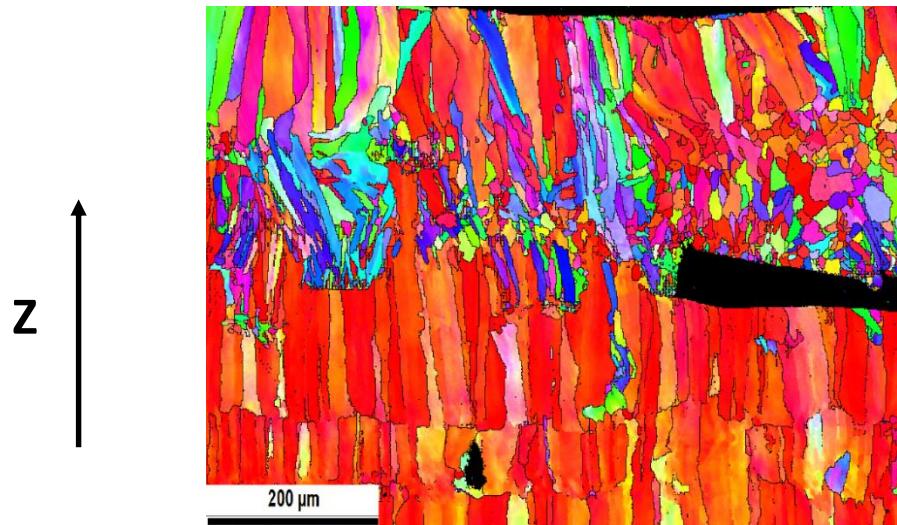
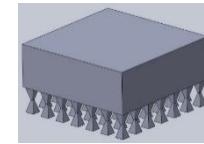
# Texture and anisotropy

Defining angle  $\theta$  between tensile direction and rolling direction of the sheet



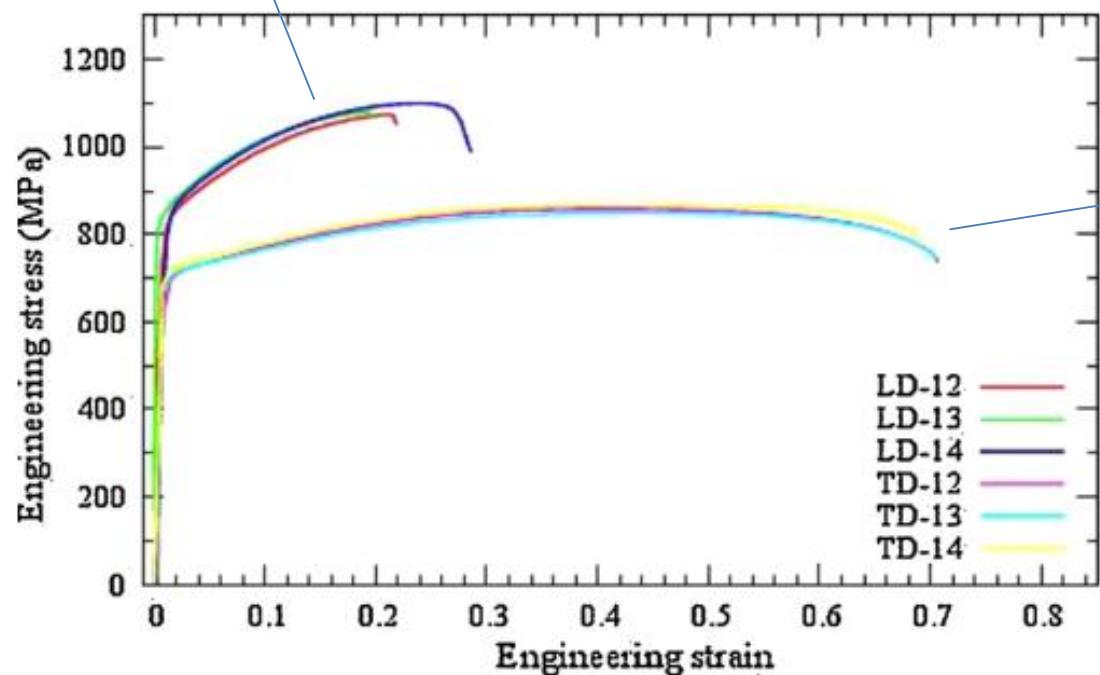
Orientation dependence of mechanical behavior of a Zinc alloy as a function of the angle  $\theta$   
(Ch. Kerisit, ENSMP).

# Texture of an AM part



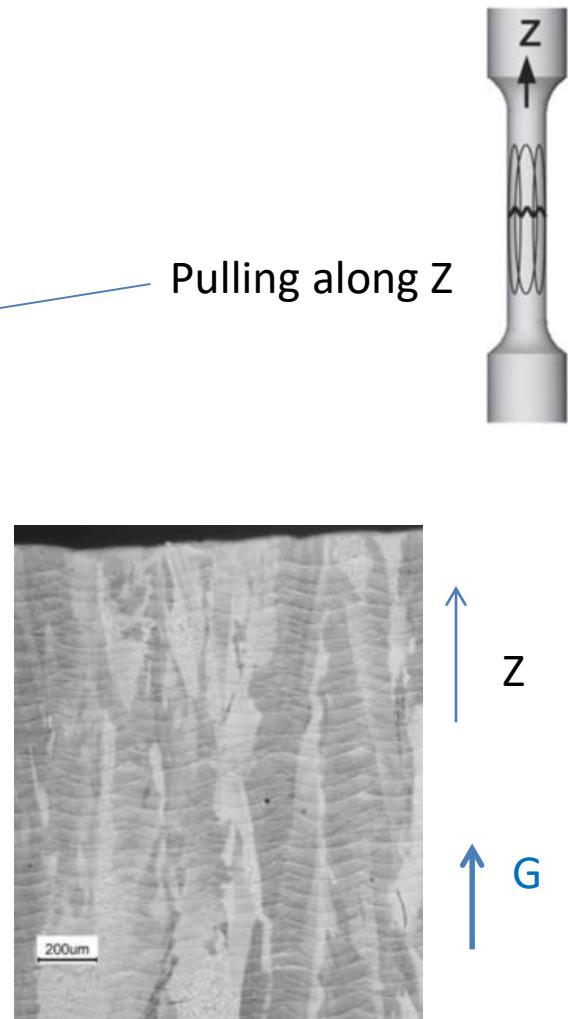
- In cubic materials,  $<100>$  is usually // to the build direction Z. This « epitaxial » growth results in a strong **crystallographic texture**.
- There is also a strong **morphological texture** due to grains elongated in the Z direction → **directional Hall-Petch effect**

# Mechanical Anisotropy of an AM part

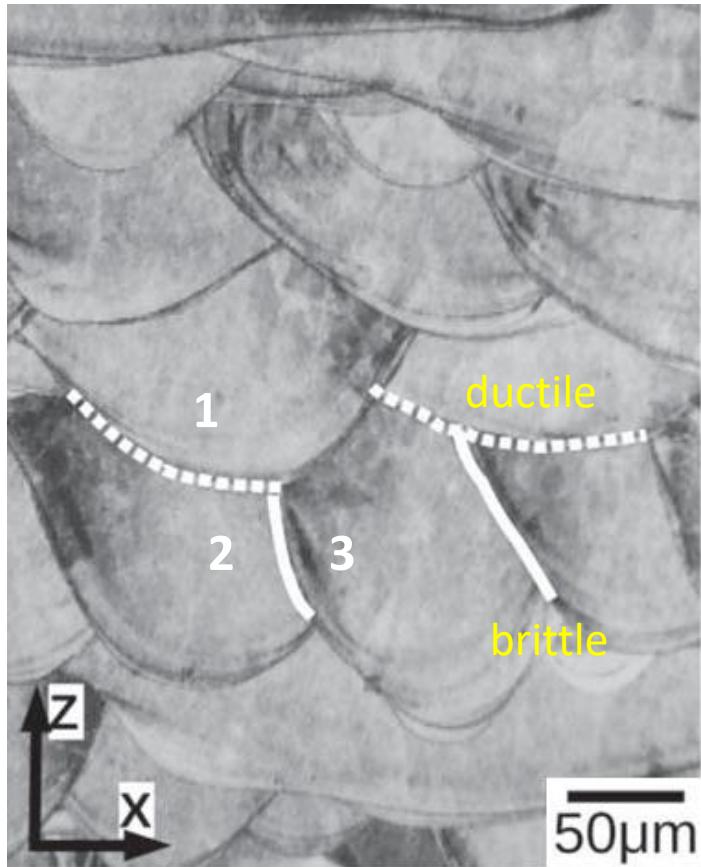
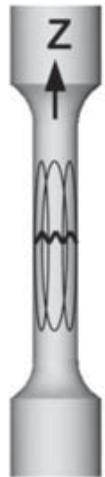


Tensile properties of Nimonic 263  
SLM samples

[T. Vilaro et al., Materials Science & Engineering A, 2012]



# Mechanical Anisotropy of an AM part



[D. Tomus et al., Materials Science & Engineering A, 2016]

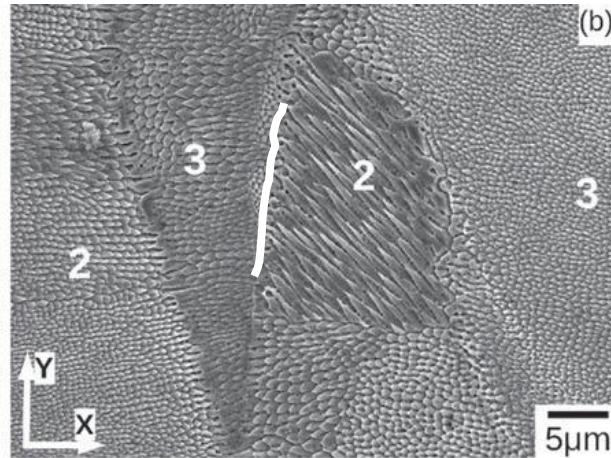
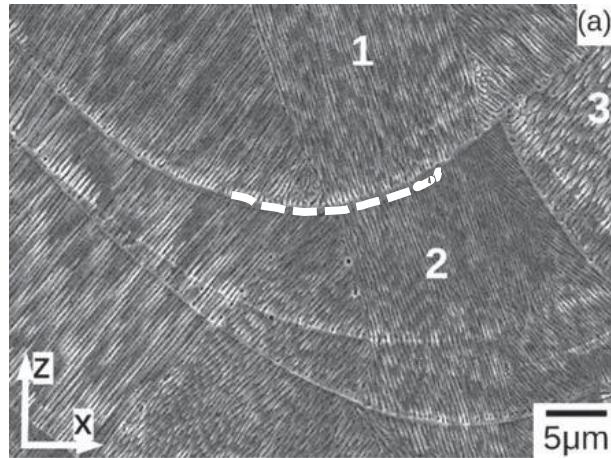
Morphology of the **molten pools** (Hastelloy-X). **Boundaries** :

- between two alternating layers (dashed line -- **ductile**)
- between two adjacent molten pools (continuous line -- **brittle**).  
= **weak zones, leading to early failure**

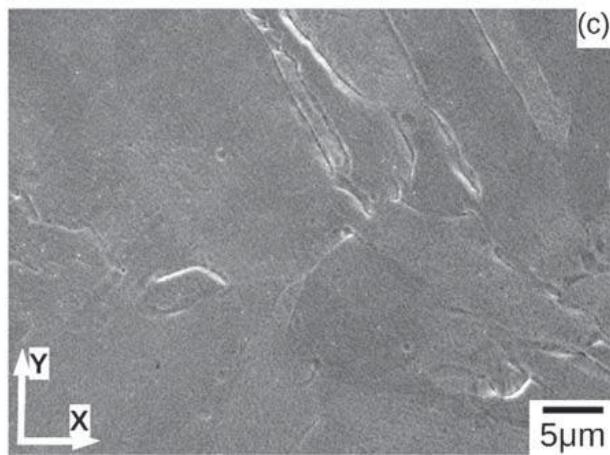
**“Disappear” by HIP and/or Heat Treatment**

The orientation in space and with respect to the loading direction of these boundaries largely determine the ductility of the as built material.

# Mechanical Anisotropy of an AM part



2-3 boundaries more prone to failure

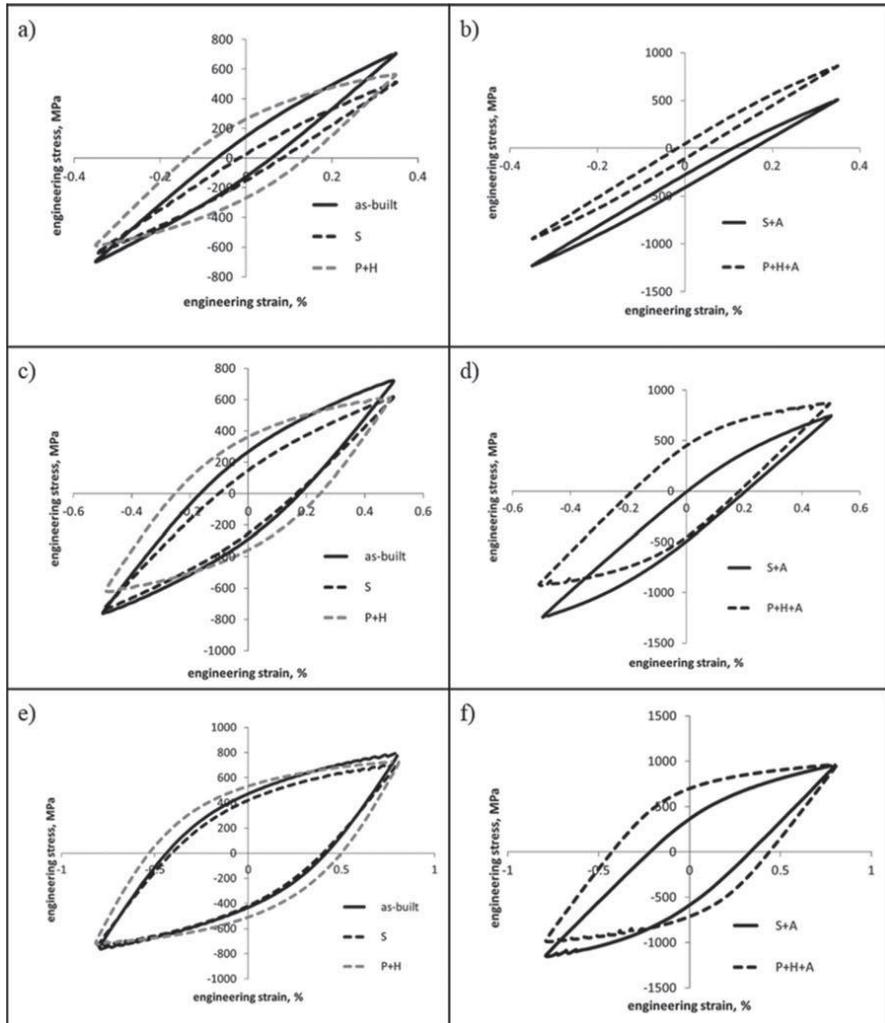


Heat treated sample:  
Dissolution of dendrites

[D. Tomus et al.,  
Materials Science &  
Engineering A, 2016]

Dendrites tend to extend their orientation between alternating layers (1-2) whereas they change the direction between adjacent layers (2-3)

# Cyclic loading and low cycle fatigue



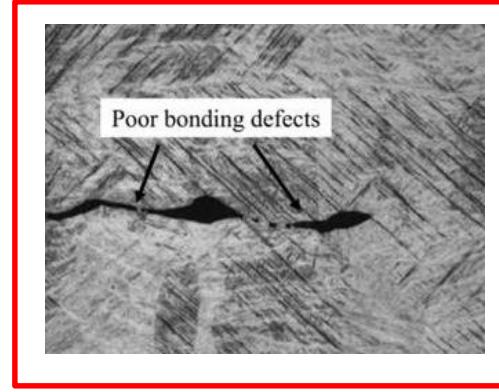
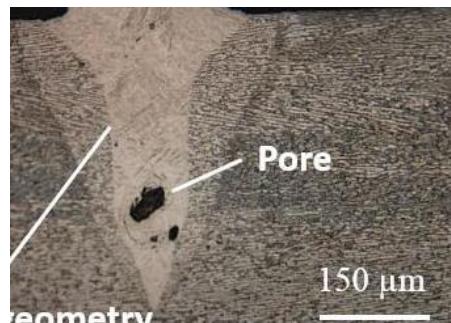
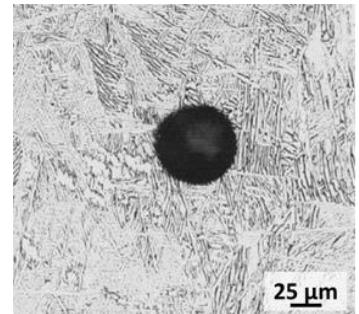
- **Inconel 718 : Different behaviors for as-built, solution annealed, and HIPed samples**
- **Main influencing factors :**
  - **dislocation structure** – cell structure (as-built, solution annealed)
  - **grain structure** – large grains in HIPed grains due to recrystallization & grain growth
  - **residual stresses**, may evolve with cycling

**Low Cycle Fatigue,  
Half-life cycle**

# High cycle fatigue

- Any **porosity** left may contribute to a reduced fatigue life
- **Roughness** is detrimental
  - Both induce stress concentration

## Porosities



Which one is the most detrimental ?

# High cycle fatigue

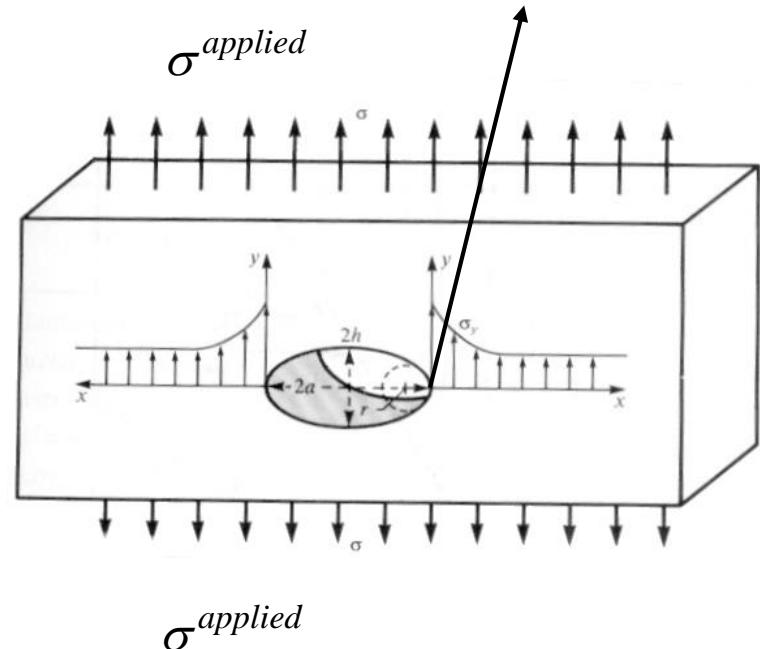
Radius of curvature

$$r = \frac{h^2}{a}$$

## Elliptical porosity

Griffith theory

$$\begin{aligned}\sigma_y^{local} &= \sigma^{applied} \left( 1 + 2 \sqrt{\frac{a}{r}} \right) \\ &= \sigma^{applied} \left( 1 + 2 \frac{a}{h} \right) \\ &= K_t \sigma^{applied}\end{aligned}$$



$K_t$  = Stress concentration factor

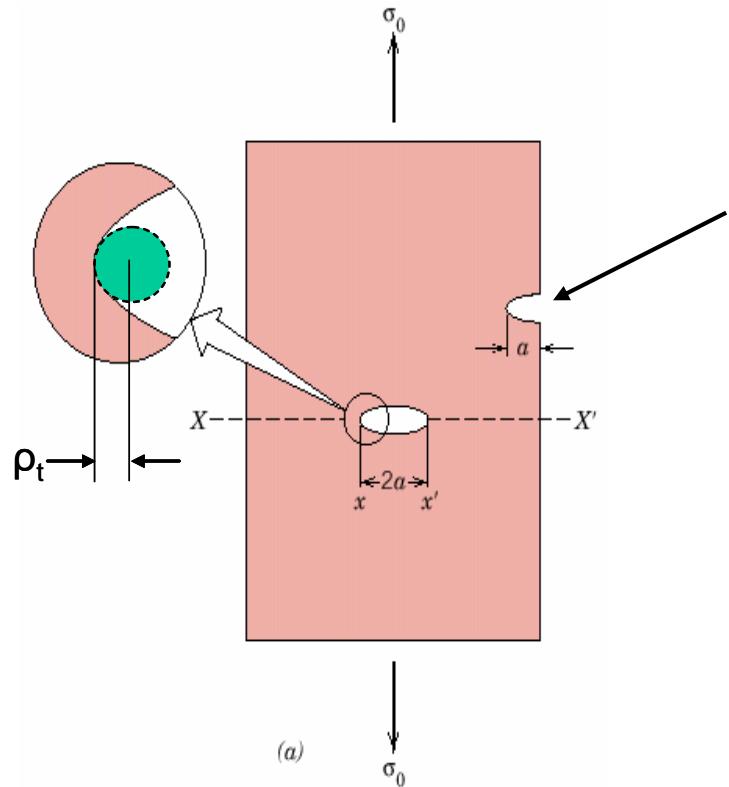
The smaller the radius of curvature, the larger the local stresses

→ The shape of porosities matters

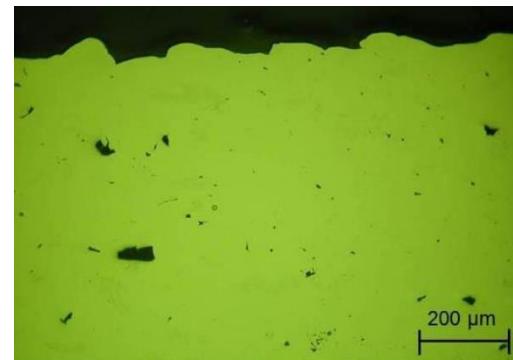
→ Lack of fusion defects

# High cycle fatigue

## Roughness

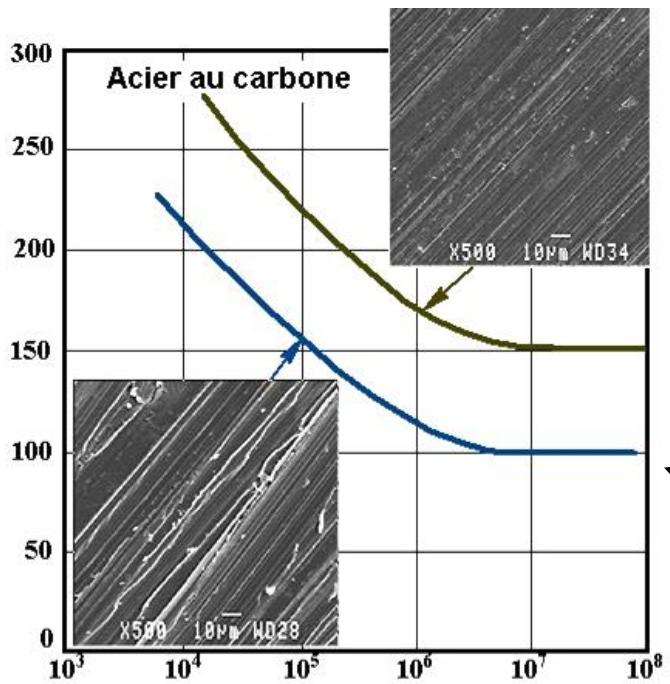


Stress concentration at the surface :  
notch, or **surface roughness**



# High cycle fatigue

Stress amplitude  
(MPa)



Increasing the strength of the material = increasing the fatigue life.

**Fatigue strength :**  
35% to 65% of the tensile strength



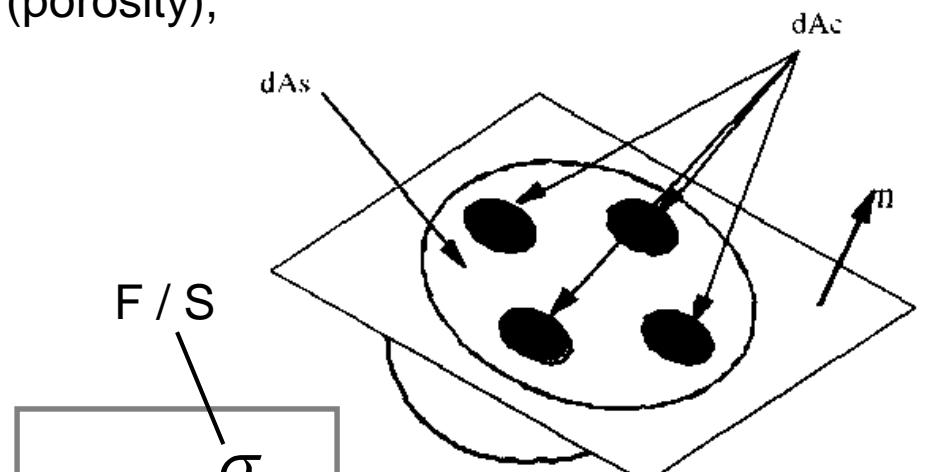
Competition between higher strength of AM parts,  
and impact of defects / roughness

# Damage mechanics : the size of porosities matters

$S_D$  is the damaged part of the surface (porosity), designated as  $dA_c$

$$D = \frac{S_D}{S}$$

damage  
0 ≤ D ≤ 1



- **Effective stress** : stress computed from the real area bearing the load

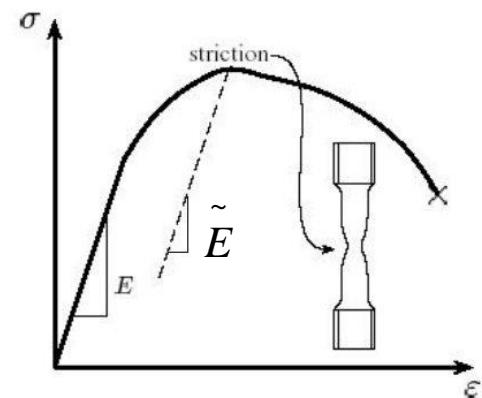
$$\tilde{\sigma} = \frac{\sigma}{(1 - D)}$$

## • Influence of $D$ :

$\sigma_y$  and  $E \rightarrow * (1-D)$

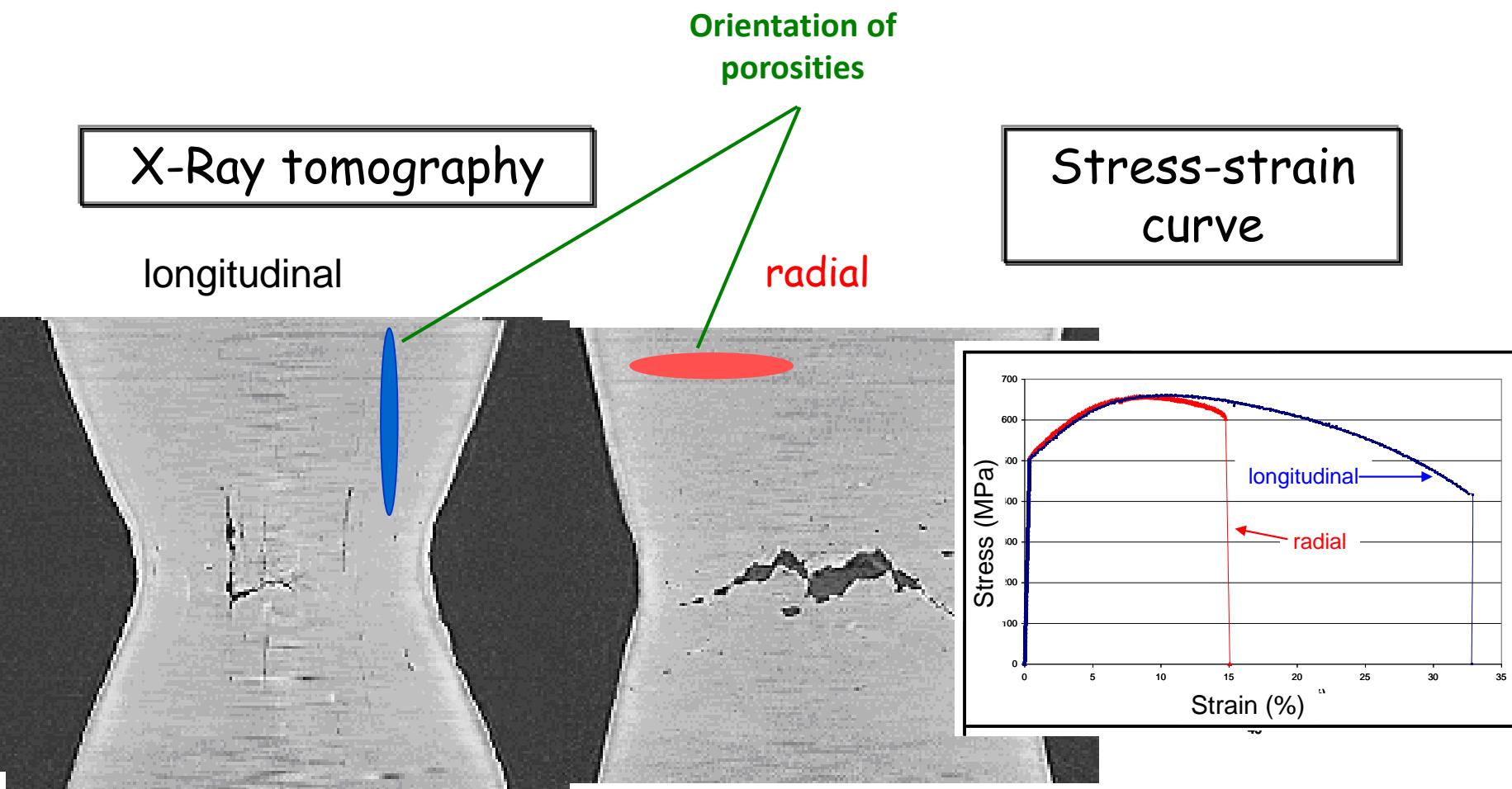
- Yield stress :  $\tilde{\sigma} = \sigma_y \rightarrow \sigma = \sigma_y (1 - D)$
- Effective Young's modulus

$$\tilde{\sigma} = E \varepsilon \rightarrow \sigma = E(1 - D) \varepsilon = \tilde{E} \varepsilon \rightarrow \tilde{E} = E(1 - D)$$



Measuring  $D$

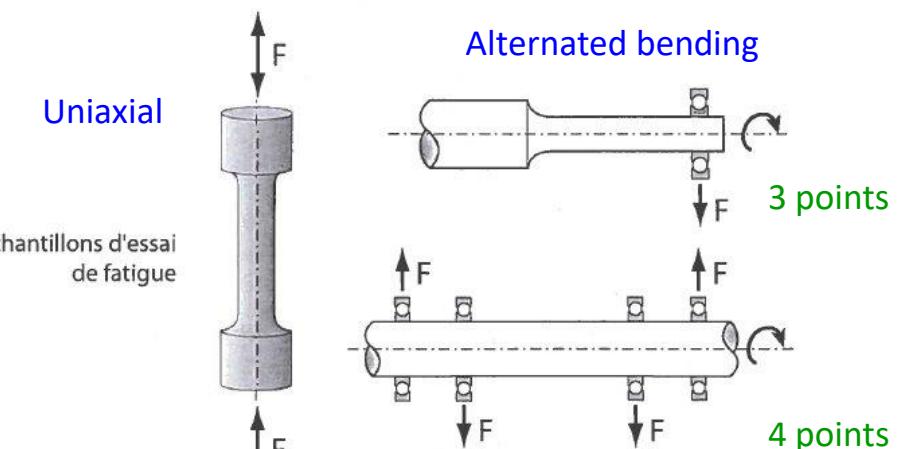
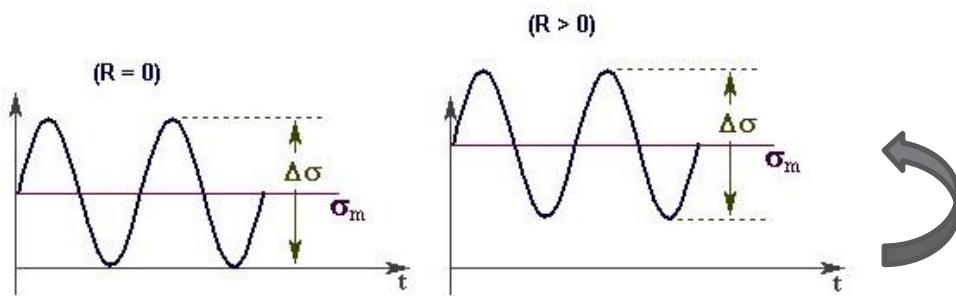
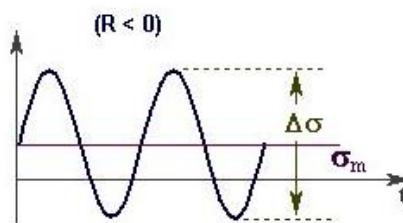
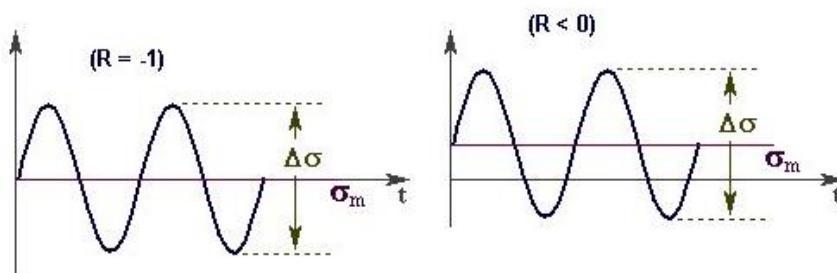
# Influence of porosity orientation on ductility



(P.O. Bouchard et al., On the influence of particle distribution and reverse loading on damage mechanisms of ductile steels, *Materials Science and Engineering A*, 2008, 496, 1-2, pp. 223-233)

# High cycle fatigue

## Residual stresses



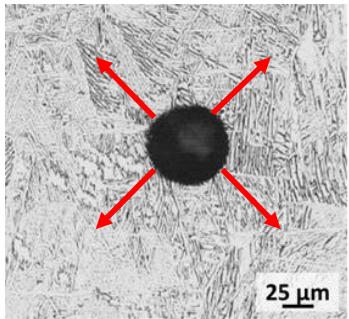
**Tensile residual stresses**

$$R = \frac{\sigma_{\min}}{\sigma_{\max}}$$

**Stress ratio**

**A major problem in AM**

# High cycle fatigue

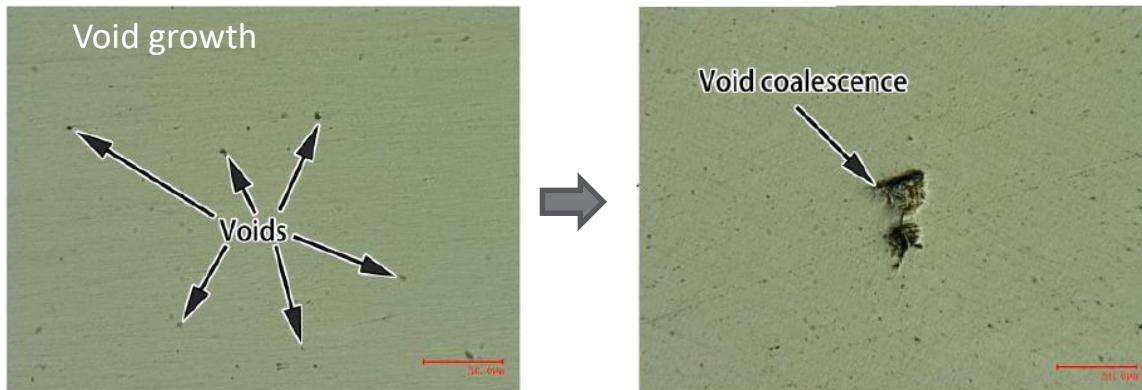
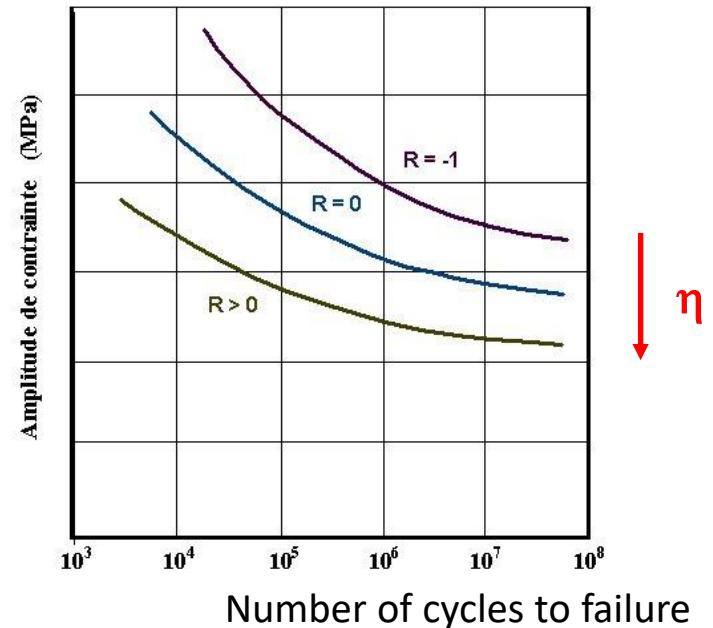


Residual  
stresses

$$\text{Porosity growth} \sim \exp(\eta) ; \eta = \frac{\text{tr}(\sigma)}{\sigma_{eq}} \quad \text{Stress triaxiality}$$

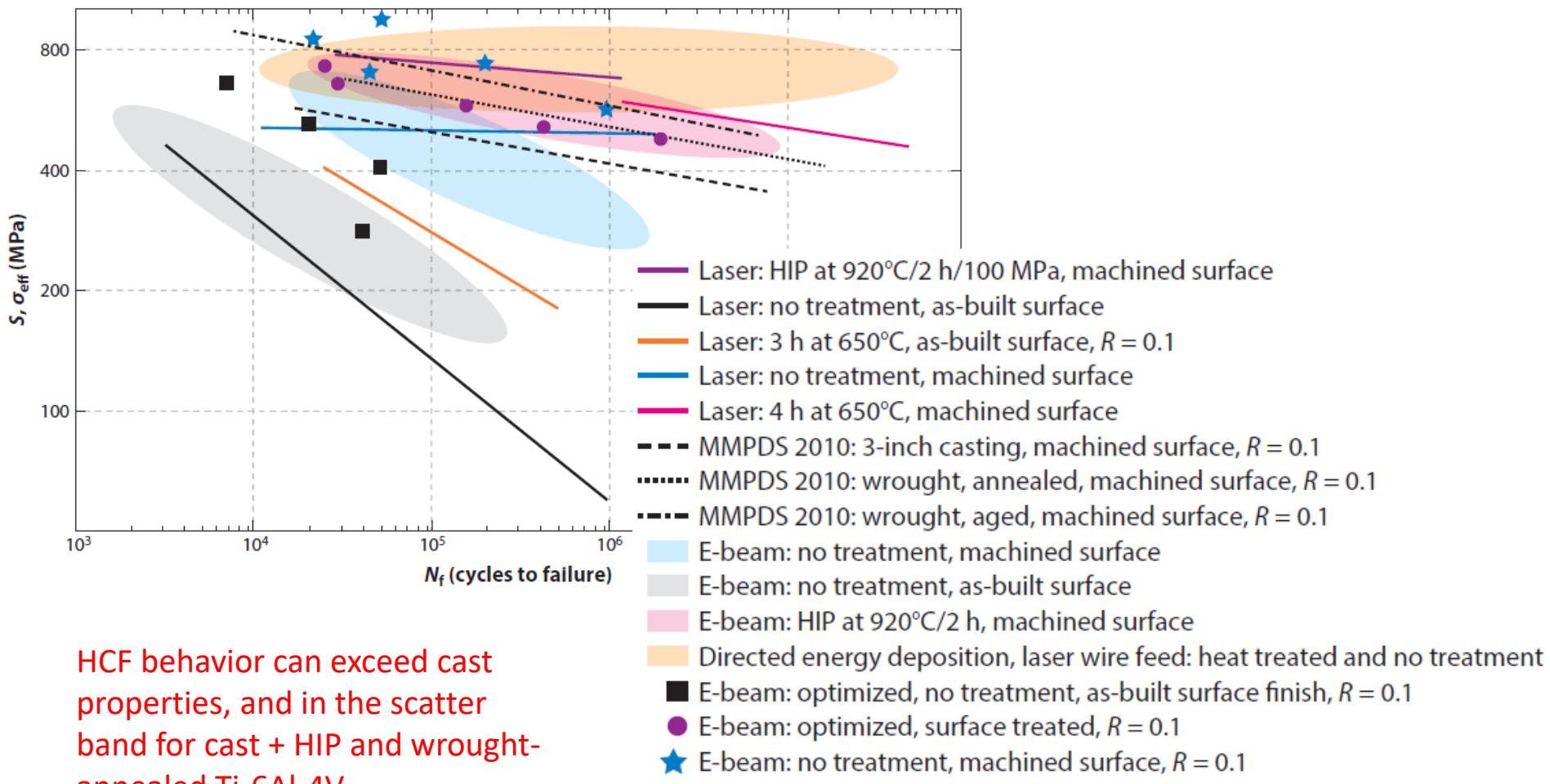
## Influence of residual stresses on Wöhler's curve

Stress amplitude (MPa)



Evolution with  
number of cycles

# Ti-64 defect dominated fatigue behavior



HCF behavior can exceed cast properties, and in the scatter band for cast + HIP and wrought-annealed Ti-6Al-4V